



Public Utilities Commission

STATE OF CALIFORNIA

## CITATION FOR VIOLATION OF PUBLIC UTILITIES CODE

To: SFL Transport, LLC  
Attn: Sergio Fausto



File: TCP 45868 Inactive  
Date: December 18, 2024  
Citation #: T.24-12-03  
Case #: CSE-0000146

### VIOLATIONS

You are hereby cited with having violated section(s) of the Public Utilities (Pub. Util.) Code and a Commission General Order (G.O.) as described below. These violations occurred during the period April 30, 2024, to July 30, 2024.

- (1) Operated as Charter-party carrier without valid authority, in violation of the Pub. Util. Code Section 5371, 5387 (a) (1) **[1 count]**
- (2) Drivers did not possess the proper California Driver's License (CDL) endorsement to drive larger vehicles, in violation of the Pub. Util. Code Section 5387 (B) **[4 counts]**
- (3) Advertised as a charter-party carrier without a valid authority, in violation of Pub. Util. Code section 5414.5. **[1 count]**

### STATEMENT OF FACTS

The above violations are documented in the attached Investigation Report, which consists of carrier records and substantiating documents obtained from other sources.

## **RESPONSE**

TEB used the Penalty Assessment Methodology adopted in Resolution M-4846 and considered mitigating and exacerbating factors in setting the fine.

You are hereby called upon to answer this citation on or before January 9, 2025. By way of such answer, you may either:

- (1) Pay a fine of \$4,000 pursuant to P.U. Code Section 5378. (Submit your check or money order payable to California Public Utilities Commission using the attached *Citation Agreement*. Upon payment, the fine will be deposited in the State Treasury to the credit of the General Fund and the Commission staff will deem the matter closed, **or**
- (2) Contact the Supervisor below to make payment arrangements, **or**
- (3) Contest this Citation by filing an Appeal. See attached document “*How to File an Appeal and Instructions for Filing a Notice of Appeal and Certificate of Service for a Citation Appeal.*”

If you fail to respond by January 12, 2025, you will be in default and will have forfeited your right to appeal the Citation. The Commission may also act through a civil or criminal proceeding to recover any unpaid fine and ensure compliance with applicable statutes and Commission orders.

*Maria C. Solis*

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Maria C. Solis  
Program and Project Supervisor  
Consumer Protection and Enforcement Division  
Transportation Enforcement Branch  
E-mail address: maria.solis@cpuc.ca.gov

Attachments



Public Utilities Commission

STATE OF CALIFORNIA

**CITATION COMPLIANCE AGREEMENT**

File No.: TCP 45868 Active  
Citation #: T.24-12-003  
Date: December 18, 2024  
Case #: CSE-0000146

I (we) hereby agree to comply with this citation dated December 18, 2024, and herewith pay the fine of **\$4,000**.

SFL Transport LLC  
Attn: Sergio Fausto



Mailing Address:



\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Title)

\_\_\_\_\_  
(Date)

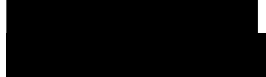
Payment (cashier check or money order) should be made payable to *California Public Utilities Commission* and sent to:

California Public Utilities Commission  
Attn: Fiscal Office  
505 Van Ness Avenue  
San Francisco, CA 94102-3298

**CALIFORNIA PUBLIC UTILITIES COMMISSION**



SFL Transport, LLC  
Attn: Sergio Fausto



File: TCP 45868  
Citation #: T.24-12-03  
Case #: CSE-000146  
Date: December 18, 2024

**AUTO-PAY CREDIT OR DEBIT CARD AUTHORIZATION**

I (we), \_\_\_\_\_, doing business as SFL Transport, LLC, hereby authorize the California Public Utilities Commission to deduct \$ \_\_\_\_\_ from my VISA or Mastercard in one installment, or each month, on the due date, until Citation T.24-12-03, is paid in full.

Card Number \_\_\_\_\_ Expiration Date \_\_\_\_\_.

\_\_\_\_\_  
Cardholder Authorized Signature

\_\_\_\_\_  
Date

Attachments:

- Photocopy of Credit or Debit Card
- Photocopy of Cardholder's Driver's License

**TEB Enforcement Analyst:** Ronald Truss

**Case:** CSE-000146

## **INVESTIGATIVE REPORT**

**CARRIER:** SFL Transport, LLC  
CA Secretary of State: 202358515119 (Active)

**OFFICERS:** Cecilia Fausto, Owner

**AUTHORITY:** TCP 45868 Inactive

**ADDRESS:** **Business Address**

[REDACTED]

**Mailing Address**

[REDACTED]

**PHONE/EMAIL:** (760) 481-5890, (760) 481-9613/sfltransport65@gmail.com

**EMPLOYEES:** 3

**VEHICLES:** 3

**PL&PD INSURANCE:** Unknown

**WORKERS'  
COMPENSATION  
INSURANCE:** Unknown

**DRUG CONSORTIUM:** Unknown

## INTRODUCTION

On May 6, 2024, I was assigned to investigate SFL Transport, LLC (SFL). California Highway Patrol (CHP) alleged no passenger endorsements, operation without valid authority, and DMV Employer Pull Notice status not up to date.

## VIOLATIONS

The investigation disclosed that SFL violated the following provision of the Public Utilities Code (Pub. Util. Code) from April 30, 2024, to July 30, 2024.

- **Operated as Charter-party carrier without valid authority, in violation of the Pub. Util. Code Section 5371, 5387 (a) [1 count]**

On April 30, 2024, during a terminal inspection by the CHP Border Division Motor Carrier Unit, it was discovered that SFL's TCP Number was inactive (**Attachment 1**). The inspection also revealed fuel receipts and vehicle log confirming intrastate operations. (**Attachment 3**).

- **Drivers did not possess the proper California Driver's License (CDL) endorsement to drive larger vehicles, in violation of the Pub. Util. Code Section 5387 (B) [4 counts]**

Driver Name	DL License #	Passenger Endorsement
[REDACTED]	[REDACTED]	No
[REDACTED]	[REDACTED]	No
[REDACTED]	[REDACTED]	No
[REDACTED]	[REDACTED]	No

None of the four drivers listed possess the required passenger endorsements to operate the vehicles identified by the CHP Border Division Motor Carrier Unit during their terminal inspection on April 30, 2024 (**Attachment 2**).

- **Advertising without authority in violation of Pub. Util. Code Section 5414.5 [1 count].**

The Transportation Licensing and Analysis Branch database reflects that SFL's TCP Permit number 45868 is currently inactive (**Attachment 1**). On May 29, 2024, I issued a cease-and-desist notice to SFL. (**Attachment 4**). Despite this, the carrier continued to advertise its passenger services on its website <https://www.facebook.com/sfltransport/>. Although SFL Transport, LLC did respond to the cease-and-desist notice. It was observed on July 30, 2024, that they were still actively running advertisements on Facebook. (**Attachment 5**)

## DECLARATION

I have read the foregoing and know the contents thereof and I declare under penalty of perjury that the foregoing is true and correct, except as to those matters stated on information and belief, and as to those matters, I believe to be true.

Executed on December 18, 2024, at

Los Angeles, California

A handwritten signature in cursive script that reads "Ronald Truss".

Ronald Truss  
Public Utilities Regulatory Analyst II

## **LIST OF ATTACHMENTS**

1. TCP portal
2. DMV record- No Passenger Endorsements
3. CHP Inspection Reports
4. Cease and Desist Notice
5. 7/30/24 & 11/8/24 Facebook advertisements



# ATTACHMENT 1: TCP Portal inactive

The screenshot displays the TCP Portal interface for the account **PSG0045868 - SFL TRANSPORT, LLC**. The account record type is **LLC** and the authority status is **Inactive**. The operation model is **Regular**. The primary contact is **SERGIO FAUSTO**. The account is currently inactive, as indicated by the status and the 'File An Application' button in the sidebar.

Account Record Type	Operation Model	TEB Flag	Authority Status
LLC	Regular		Inactive

**Contracts (0)**

**Cases (0)**

**Details** | Payments | Activity | Files - Notes | Personnel | Equipment | Insurance | More ▾

Account Name	SFL TRANSPORT, LLC	Account Record Type	LLC
Account Status	Inactive	TEB Flag	
Operation Model	Regular	Primary Contact (TCP)	SERGIO FAUSTO
Official Email Address	sfltransport65@gmail.com	Primary DBA	
Phone	(760) 481-5890	Primary POA	
Extension		Account Info	
Cell Phone	(760) 481-9613	Account Owner	
Parent Account			

**We found no potential duplicates of this Account.**

Applications & Insurance

- File An Application
- Submit New Policy Information

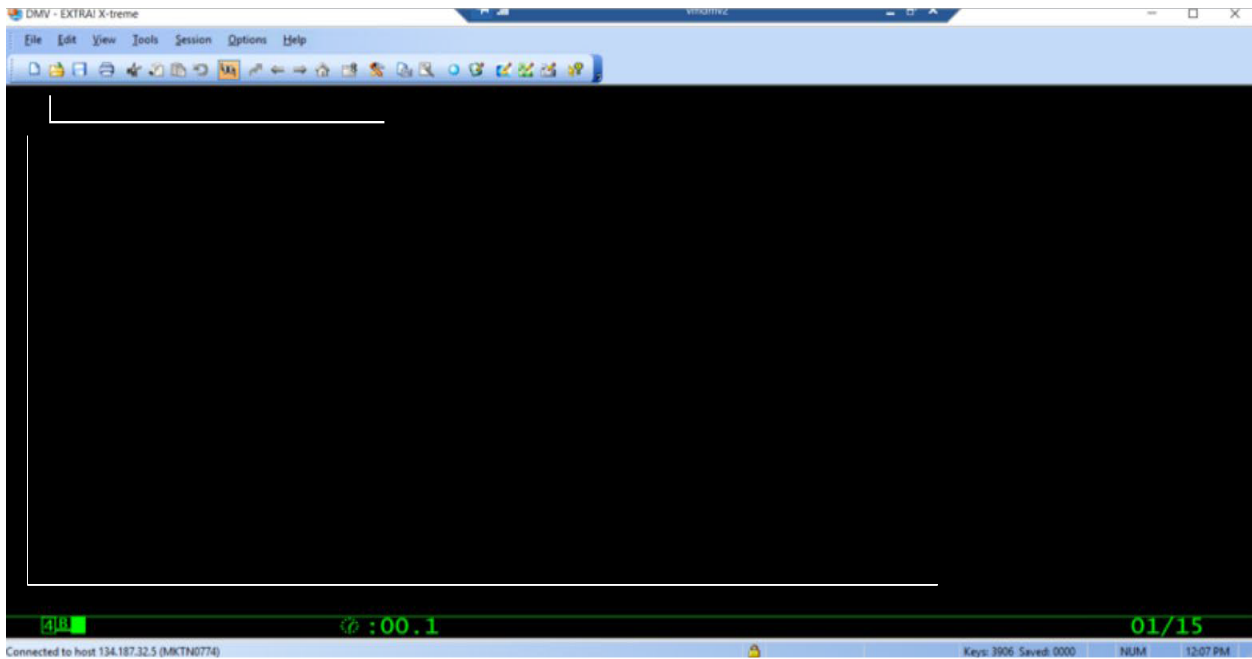
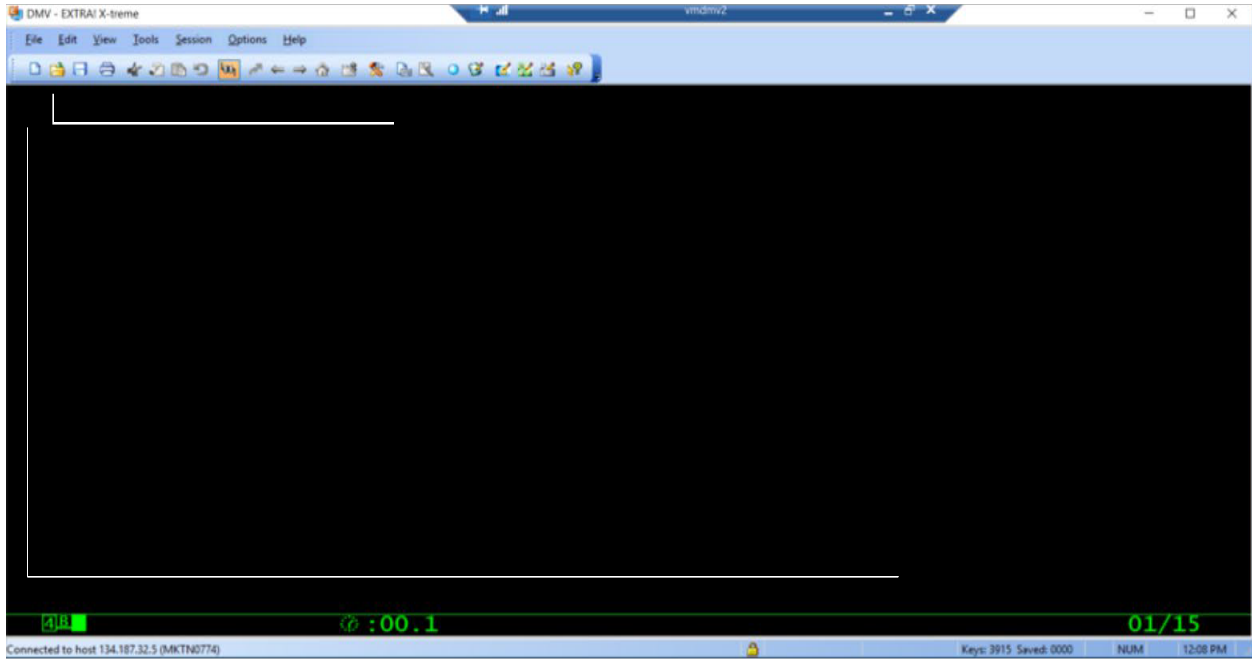
Carrier Profile Actions

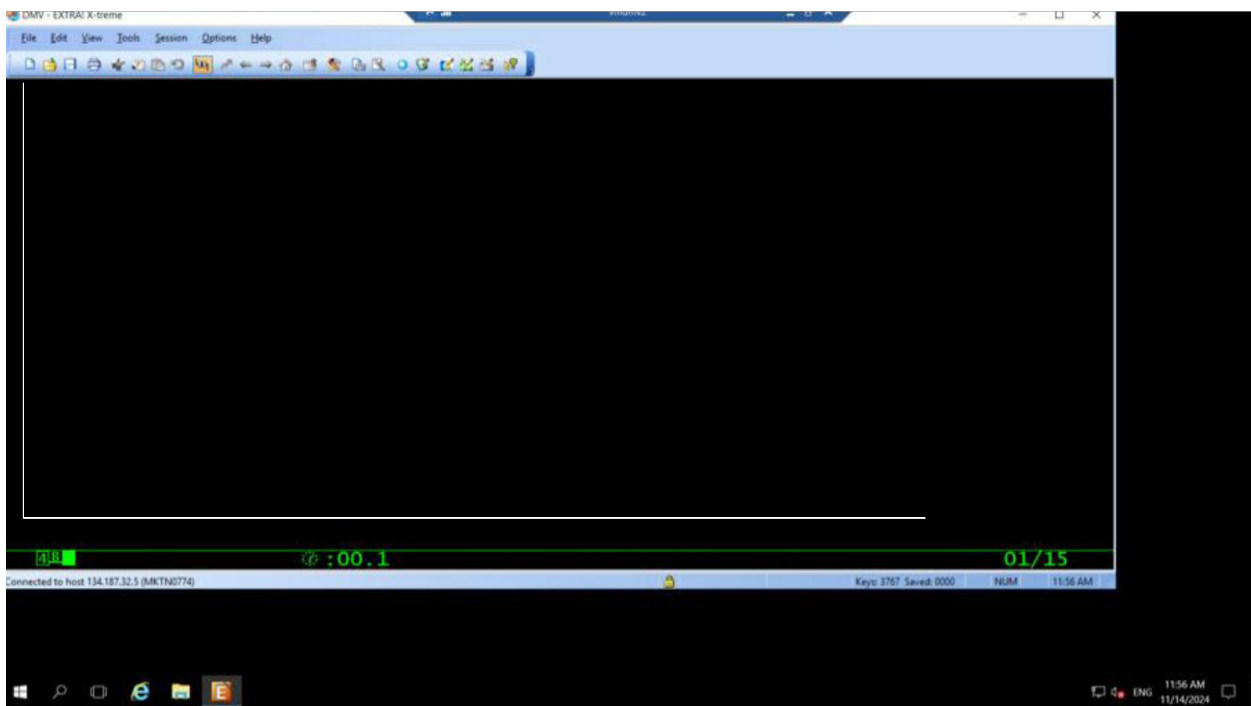
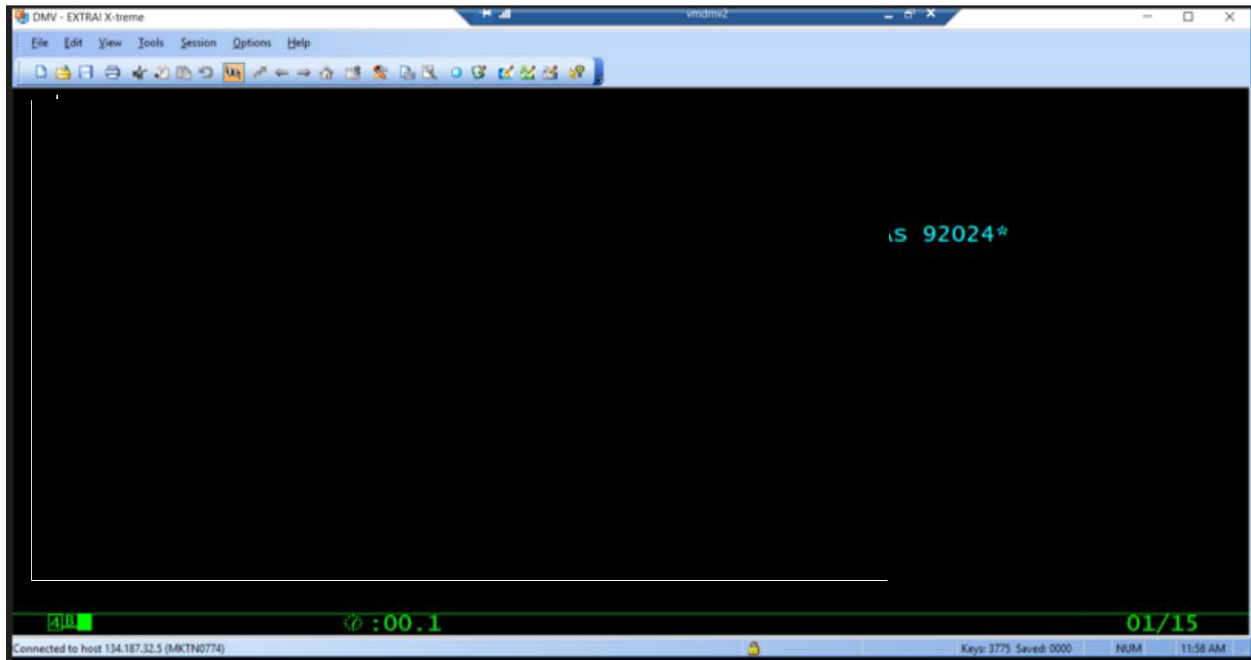
Related List Quick Links

- Account History (7)
- Associated Locations (0)
- Cases (0)
- Insurance (0)

1029 AM 11/8/2024

## ATTACHMENT 2: DMV Record – No Passenger Endorsements





ATTACHMENT 3: CHP Inspection Reports

CHP407F/343A-INSPCT

DRIVER/VEHICLE EXAMINATION REPORT

1 of 1  
Inspect 1.130.8745



California Highway Patrol  
9330 Farnham Street  
San Diego, CA 92123  
Phone: (858) 944-6349  
Internationally Accredited Agency CHP407F/343A

Report Number: CAN7H1000747  
Inspection Date: 04/30/2024  
Start: 11:12 AM PD End: 12:09 PM PD  
Inspection Level: V - Terminal  
HM Inspection Type: None

Carrier: SFL TRANSPORT LLC

DBA:



USDOT: 4122462

MC/MX#:

State#: 634899

Location: CALEXICO

Highway:

County: IMPERIAL

Phone#: (760)481-5890

Fax#:

Driver:

License#:

Date of Birth:

CoDriver:

License#:

Date of Birth:

Milepost:

Origin: N/A

Destination: N/A

Shipper: N/A

Bill of Lading: N/A

Cargo: N/A

State:

State:

Email: SFLTRANSPORT65@GMAIL.COM

VEHICLE IDENTIFICATION

Unit ME. Make. Year file

1 MC CHEV 2021 CA 9KCJ058

Equipment IP



moolB C YSA Existing



BRAKE ADJUSTMENTS

Mtl 1 2

Right N/A N/A

Left N/A N/A

Chamber HYDR HYDR

VIOLATIONS: No violations were discovered

HazMat: No HM transported

Placard:

Cargo Tank:

Special Checks: No data for special checks

State Information:

Odometer: 86246; File Code Number: 560845; Fuel Type: GAS; Passenger Capacity: 11; Bus Type: 2; Beat/Sub Area: 625; Regulated Vehicle: Y; Pre-Cleared Vehicle: N; Veh #1 Type: 10



TRUCKERS AGAINST TRAFFICKING

If you suspect human trafficking please contact 911 and call the National Human Trafficking Hotline at 1-888-373-7888. Make the call, save lives! Go to- <https://truckersagainstrafficking.org/> to learn more.

Report Prepared By  
S. Acevedo

ID/Badge#:  
A09685

Copy Received By:

X

X





California Highway Patrol  
9330 Farnham Street  
San Diego, CA 92123  
Phone: (858) 944-6349  
Internationally Accredited Agency CHP407F/343A

Report Number: CAN7H1000749  
Inspection Date: 04/30/2024  
Start: 12:14 PM PD End: 1:11 PM PD  
Inspection Level: V • Terminal  
HM Inspection Type: None

Carrier: SFL TRANSPORT LLC

DBA:

[Redacted]

USDOT: 4122462

MC/MX#:

State#: 634899

Location: CALEXICO

Highway:

County: IMPERIAL

Phone#: (760)481-5890  
Fax#:

Driver:  
License#: State:  
Date of Birth:  
CoDriver:  
License#: State:  
Date of Birth:  
Milepost: Shipper: N/A  
Origin: N/A Bill of Lading: N/A  
Destination: N/A Cargo: N/A

Email: SFLTRANSPORT65@GMAIL.COM

VEHICLE IDENTIFICATION

Uoil Year filale Equipment IP VIN TM8 cysA Existing  
1 MC FORD 2019 CA 64194U2 [Redacted] [Redacted]

BRAKE ADJUSTMENTS

Mtl 1 2.  
Right N/A N/A  
Left N/A N/A  
Chamber HYDR HYDR

VIOLATIONS: No violations were discovered

HazMat: No HM transported Placard: Cargo Tank:

Special Checks: No data for special checks

State Information:

Odometer: 57073; File Code Number: 560845; Fuel Type: GAS; Passenger Capacity: 14; WC Passenger Capacity: 0; Bus Type: 2; Beat/Sub Area: 625( Regulated Vehicle: Y; Pre-Cleared Vehicle: N; Veh #1 Type: 10



TRUCKERS AGAINST TRAFFICKING

If you suspect human trafficking please contact 911 and call the National Human Trafficking Hotline at 1-888-373-7888. Make the call, save lives! Go to~ <https://truckersagainsttrafficking.org/> to learn more.

Report Prepared By:  
S.Acevedo

IP/Badge#:  
A09685

Copy Received By:

X

X

**Basic Terminal Information for Term#: 560845**

SFL TRANSPORT LLC, CA# 634899

Main Address	
[REDACTED]	[REDACTED]

**Fleet Information**  
Vehicles: 1 Bus 1: 1 Drivers: 1  
Types Owned: N/A  
Types of Operation: TBUS

Terminal Emergency Contacts		IMS License
Year	Mileage	
ACTIVE as of 09/06/2023		
Last 343 Update: 09/06/2023		
Driver Records: Yes		
Vehicle Records: Yes		

**Basic Terminal Information for Term#: 560845**  
**SFL TRANSPORT LLC, CA# 634899**



**Inspection History**

This terminal does not have any prior inspections

**Current BIT Cycle**

This terminal does not have any current BIT information

**BIT Event History**

This terminal does not have any BIT history.

**Terminals Consolidated by this Terminal**

This terminal has not consolidated any terminals.

**Terminal Assuming this Terminal**

This terminal has not been assumed by any other terminals.



Travel Control

Important Information: This form must be filled out before you leave for your trip.

Vehicle, dzeum/e:J

Year: 2021

Company, /

Departure Date: 04/20/24  
Departure Time: 01:45  
5:40

Starting Mileage: 11/700  
Ending Mileage: 11/700

Arrival Date: 04/20/24

	Passengers:	Amount Paid:
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
15		

Driver Signature: [Signature] Supervisor Signature: \_\_\_\_\_

Paid Date: \_\_\_\_\_

Comments: Se dejo a una persona a domicilio donde habia cerrado por contruccion en carretera Spring Valley, Salinas/Entidad



---

ABC Fuel Station, 1  
250 Imperial Ave  
Calexico, CA 92231

04/20/24 10:23:32 AH  
Register 1 Trans# 1418 Op ID: 9  
Your cashier: JOSE

UNLEADED CA PUMP# 1  
16.476 GAL @ \$5.099/GAL \$84.01 99

Subtotal = \$84.01  
Tax = \$0.00

Total = \$84.01

Change Due = \$-15.99

Cash \$100.00

THANK YOU  
HAVE A NICE DAY!!!

---



Travel Control

Important Information: This form must be filled out before you leave for your trip.

Vehicle Ford Transit Year: 2017

DriverName: \_\_\_\_\_ Date 04/24/14  
Departure Time 11:57  
Arrival Time 16:15

Starlig Mileage: \_\_\_\_\_ GasExpense: \_\_\_\_\_  
Endl ;l;age: J; 11:??<S TJ-

	Passangers:	Amount Paid:
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
15		

Driver Signature: [Signature] Supervisor Signature: \_\_\_\_\_  
Pald Date: \_\_\_\_\_

Comments: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

ABC FUELS  
250 S IMPERIAL AV-E  
CALEXICO CA 92231  
XXXX)(XXXX7003

04/24/24 11:56:53 AM  
Register: 1. Trans #: 2401 Op ID: 9  
Your cashier: JOSE

UNLEADED CA PUMP# 8  
18,594 GAL @ \$5.099/GAL \$94.81 99

Subtotal = \$94.81  
Tax :: \$0.00

Total= \$94.81  
Change Due :: \$0.00

Debit \$100.00

XXXX XXXX XXXX 8746 Debit  
INVOICE: 003778  
A!! 445850

=====  
pds Purchase/Capture  
Sequence Number 07956  
C ip Re!d  
US DEBIT  
Mode: ),suer  
AID: A000000980840  
TVR{8000Q48000 -  
ijD: 060i1203AOB000 ,  
TSl: 6800  
-!tat OQ '  
)PP.ROVED 445850  
Verified by PIN  
=====

Refund Debit \$-5.19

THANK YOU "  
HAVE A NICE DAY!!!



Travel Control

Important Information: This form must be filled out before you leave for your trip.

Vehicle: Express

Year: 2019

Driver Name: Victor Chen

Date: 04/26/24

Destination: San Diego  
4 Domestic

Departure Time: 10:56

Arrival Time: 15:30

Starting Mileage: 121993

Gas Expense \$: 125.83

Ending Mileage: 122241

	Passengers:	Amount Paid:
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
15		

Driver Signature: [Signature] Supervisor Signature: \_\_\_\_\_

Paid Date: \_\_\_\_\_

Comments: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

ABC FUELS  
250 S IMPERIAL AVE  
CALEXICO CA 92231  
XXXXXXXX7003

04/26/24 10:16:34 AM  
Register: 1 Trans #: 2821 Op ID: 14  
Your cash1er: CLAUDIA

\*\*\* PREPAID RECEIPT \*\*

UNLEADED CA PUMP# 1 \$100,00 99

Subtotal= \*\$100,00  
Tax = 0.00

T.otal = \$100.00

Change Due =

\$1,00,00

XXXX XXXX XXXX B746 Debit  
INVOICE: 003966  
AIITH 4823TT

POS Authorization Only  
Sequence Number 08328  
Chip Read  
US DEBIT  
Mode: Issuer  
AID: A00000 D0980B40  
TVR: 800004B000  
!AD: 06011203A08000  
TS!: 6800 \*  
ARC: 00  
APPROVED 482377  
Verified by PIN

THANK YOU  
HAVE A NIGE DAY!!



Travel Control

Important Information: This form must be filled out before you leave for your trip.

Vehicle: J t J's V

Year: 0/0/1

Driver name: -1)av -c! <μ"!'-'---

Date: .../.../...

Destination: ... (eg Q. ...) Departure Time: ... 10:00 ...

Arrival Time: ... 5 P<sup>3</sup>/<sub>4</sub> ...

Original Mileage: 55,372

Gas Expense: & 0---" (2 0

Reading Mileage: 55,668

	Passengers:	Amount Paid:
1		75
2		75
3		75
4		75
5		75
6		75
7		75
8		75
9		75
10		75
11		65
12		65
13		65
14		75
15		

Driver Signature:

Supervisor Signature: \_\_\_\_\_

Comments: \_\_\_\_\_

Paid Date: \_\_\_\_\_

---

A C Fuels S1nclaf,  
250 Imper1a1 Ave  
Calex1co, CA 92231

04/23/24 10:41:19 AM  
Reg1ster: 1 Trans #: 2092 Op ID: 9  
Your cash1er: JOSE

UNLEADED CA PUMP# 8  
12.943 GAL II \$5.099/GAL \$66.00 99

Subtotal = \$66.00  
Tax = \$0.00  
-Total = \$66.00  
Change Due = \$-34.00

Caso \$100.00

THANK YOU  
HAVE A NICE DAY!!!

---



Travel Control

Important Information: This form must be filled out before you leave for your trip.

Vehicle Chevrolet Express

Year: 2021

Driver Name: Ocw -c9:- f r:w: ci CA

Date: Y 1... Z-2/i 'Zff

Destination: Sa ""- D.....kff'=-o"- Departure Time: 3 : 'l :15--cf2.....t---

Arrival Time: ji :05:

Starting Mileage: 8 . '= )'-'- C\ -#

Gas Expense \$: 7- :2 -- )Z?

Ending Mileage: - >:-s Jr-" j- ::\

	Passengers:	Amount Paid:
1		75
2		75
3		75
4		75
5		75
6		75
7		75
8		75
9		75
10		
11		
12		
13		
14		
15		

Driver Signature: \_\_\_\_\_

Supervisor Signature: \_\_\_\_\_

Paid Date: \_\_\_\_\_

Comments: / \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



ABC FUELS  
250 S IMPERIAL AVE  
CALEXICO CA 92231  
XX XXXXX7003

04/25/2024 6:41:02 PM  
Register: 2 trans #: 3941 Op ID: 4  
Your cashier: BERNARDO

UNLEADED CA PUMP# 3  
114,205 GAL !! \$5.099/GAL 99

Subtotal = \$72.43  
Tax = \$0.00

Total = \$72.43

Change Due = \$0.00

Debit \$100.00

XXXX XXXX XXXX 8746 Debit  
INVOICE: 003921  
AJTH 544474

POS Purchase/Capture  
Sequence Number 08214  
Chip read  
US DEBIT

Mode: Issuer  
AID: A000000980840  
TVR: 8000048000  
IAD: 0601i203A00000  
TS!: Q800  
ARC: 00  
APPROVED 544474  
Verified by PIN

Refund Debit \$-27.57

THANK YOU  
HAVE A NICE DAY!!!



Travel Control

Important Information: This form must be filled out before you leave for your trip.

Vehicle \_\_\_\_\_ C-# 1-2-L-c: iJ=\_\_\_\_\_ Year: 2-02=1

Driver Name: Q-L->a-1,LL:dj"" CV??: d:c:et Date 1.18.12.11-n1-f-?-=G'

Destination: Sci=if1 D-LJ:re.nr.0c Departure Time 1)-'-:l.=0;\_;;c12-=t-'- Arrival Time: 7 : 'tQ'-"f""(3)W<.1---

Gas Expense \$=... 11-f-...!J:S; =====

Ending Mileage: BL 51.4/C: f j i

	Passangers:	Amount Paid:
1		75
2		75
3		75
4		75
5		75
6		75
7		75
8		75
9		
10		
11		
12		
13		
14		
15		

Supervisor Signature: \_\_\_\_\_

Paid Date: \_\_\_\_\_

Comments: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

ABC FUELS  
250 S IMPERIAL AVE  
CALEXICO CA 92231  
XXX XXXXX7003

04/26/24 11 35:21 AM  
Register: 1 Trans # 2873 Op ID: 14  
Your cash1er CLAUDIA

\*\*\*.PREPAID RECEIPT-\*\*\*

UNLEADED CA PUMP# 7 \$100,00 99  
Subtotal= \$100,00  
Tax = \$0,00  
Total= \$100.00  
Change Due = \$0.00

Debit \$100.00

XXXX XXXX XXXX 8746 Deb1t  
INVOICE: 003983 \*\*  
AUTH 456670

---

POS Authorization Only  
Sequence Number 08346  
Ch1P Read  
US DEBIT  
Mode: Issuer  
AID: A00000009BOB40  
TVR: 8000048000  
!AD: 06011203A08000  
TSI: 6800  
ARC: 00  
APPROVED 456670  
Verified by PIN

THANK YOU  
HAVE A NICE DAY!!!



Travel Control

Important Information: This form must be filled out before you leave for your trip.

Vehicle 0 ¥@8=fttt ¢

Year.....

Driver Name: J6A1 <A f,lt:!?C><O

Date APRIL '2021

Destination: 9A:11.) Q

Departure Time: 1:00 PM

Arrival Time: 11:55 PM

Starting Mileage: 121451 m

Gas Expense \$: 81.64

Ending Mileage: 121701 m

	Passangers:	Amount Paid:
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
15		

Driver Signature: [Signature]

Paid Date: \_\_\_\_\_

Comments: \_\_\_\_\_

---

ABC Fuels Sinclair, 1  
250 Imperial Ave  
Calexico, CA 92231

04/23/2024 6:51:39 PM  
Register: 2 Trans #: 3620 Op ID: 4  
Your cashier: BERNARDO

1 \*\*\* REPRINT \*\*\* REPRINT \*\*\* REPRINT \*\*\*  
UNLEADED CA PUMP# 1  
16.011 GAL U \$5.099/GAL \$81.64 99  
Subtotal = \$81.64  
Tax = \$0.00  
Total = \$81.64  
1 \*\*\* REPRINT \*\*\* REPRINT \*\*\* REPRINT \*\*\*  
Change Due = . \$-18.36  
Cash \$100.00

THANK YOU  
HAVE A NICE DAY!!!



Travel Control

Important Information: This form must be filled out before you leave your trip.

Vehicle ef <JrT

Year: 1-JJB

Driver Name: f.q-g.EA! J2lcA/2.D0.

Date Af>(qL Z Zd1...1

Destination AW 1)1EGO, cA

Departure Time z-:tpM-

Arrival Time: \_\_\_\_\_

Starting Mileage: 0 DO 8 {p q fJ1}

Gas Expense \$: — 'g 5

End Mileage: \_\_\_\_\_

	Passangers:	Amount Paid:
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
15		

Driver Signature: \_\_\_\_\_ Supervisor Signature: \_\_\_\_\_

Paid Date: \_\_\_\_\_

Comments:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

ABC FUELS  
250 S IMPERIAL AVE  
CALEXICO CA 92231  
xx:xxxxx1003

04/25/2024 li: 37:30 PM  
Register 2 Trans #: 3939 Op ID: 4  
Your cashier: BERNARDO

\*\*\* PREPAID RECEIPT \*\*\*

UNLEADED CA	PUMP# 2	\$100.00	99
		Subtotal=	\$100.00
		Tax=	\$0.00
		Total =	\$100.00
		Change Due =	\$0.00
Debit			\$100.00

xxxx ;xxx xxxx 8746 Debit  
INVOICE: 003922  
AITH 544764

POS Authorization Only  
Sequence Number 08215  
Chip Read  
US DEBIT  
Mode: Issuer  
AID: A000000980640  
TVR: 8000048000  
IAD: 06011203A0B000  
TSI: 6800  
ARC: 00  
APPROVED 544764  
Ver1f1ed by PIN

THANK YOU  
HAVE A NICE DAY!!!



Travel Control

Important Information: This form must be filled out before you leave for your trip.

Vehicle Ith ett6l-Pl

Year: 2011

Driver Name: [Signature]

Date: 2/21/11

Destination: 9A-N ?1) 886

Departure Time: 10:00

Arrival Time: \_\_\_\_\_

Starting Mileage: 100

Gas Expense \$: 0.00

Ending Mileage: 100

	Passengers:	Amount Paid:
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
15		

Driver Signature: \_\_\_\_\_ Supervisor Signature: \_\_\_\_\_

Paid Date: \_\_\_\_\_

Comments: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



ABC FUELS  
250 S IMPERIAL AVE  
CALEXICO CA 92231  
XXXX XXXX7003

04/26/2024 7:04:24 PM  
Register#: 2 Trans#: 4145 Op ID: 9  
Your cash1er: JOSE

\*\*\* PREPAID RECEIPT \*\*\*

UNLEADED CA PUMP# 1 \$100.00 99

Subtotal= \$100.00  
Tax = \$0.00

Total = \$100.00

Change Due = \$0.00

Debit \$100.00

XXXX XXXX XXXX 8746 Debit

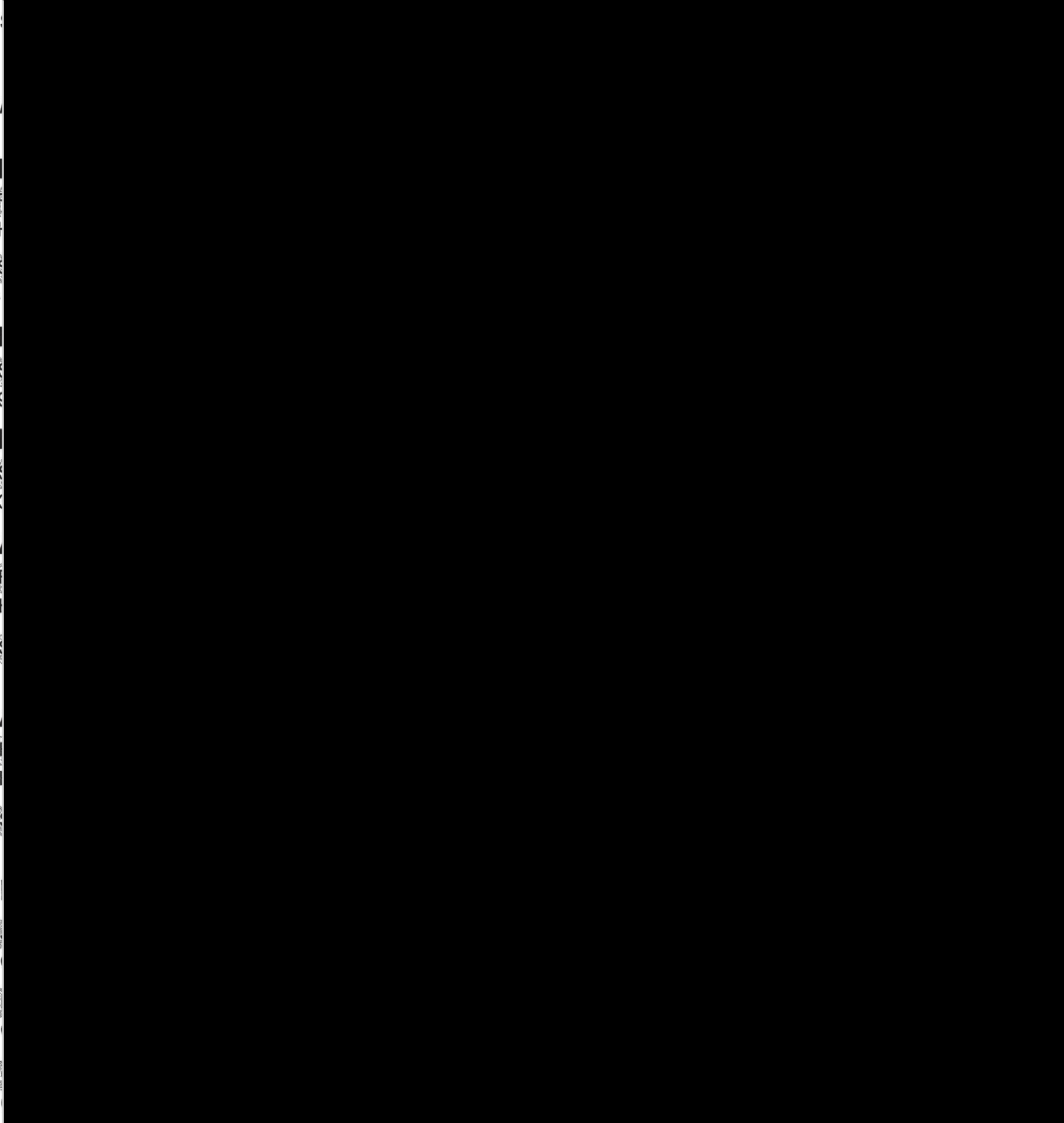
INVOICE: 004028

AUTH 052410

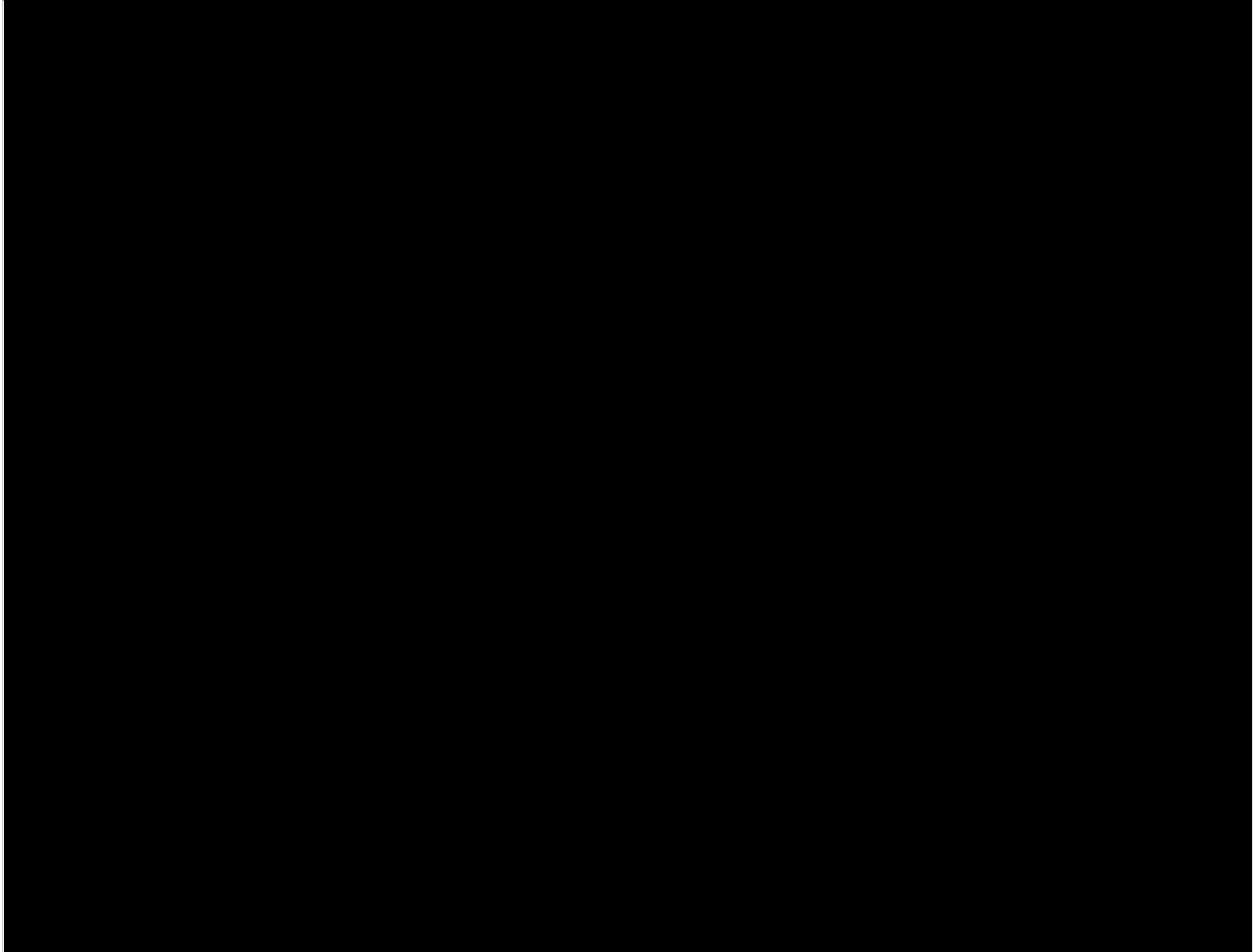
=====  
POS Authorization Only  
Sequence Number 08415  
Chip Read  
US DEBIT  
Mode: Issuer  
AID: A0000000980840  
TVR: 8000048000  
IAD: 06011203AOB000  
TSI: 6800  
ARC: 00  
APPROVED 052410  
Verified by PIN

THANK YOU  
HAVE A NICE DAY!!!

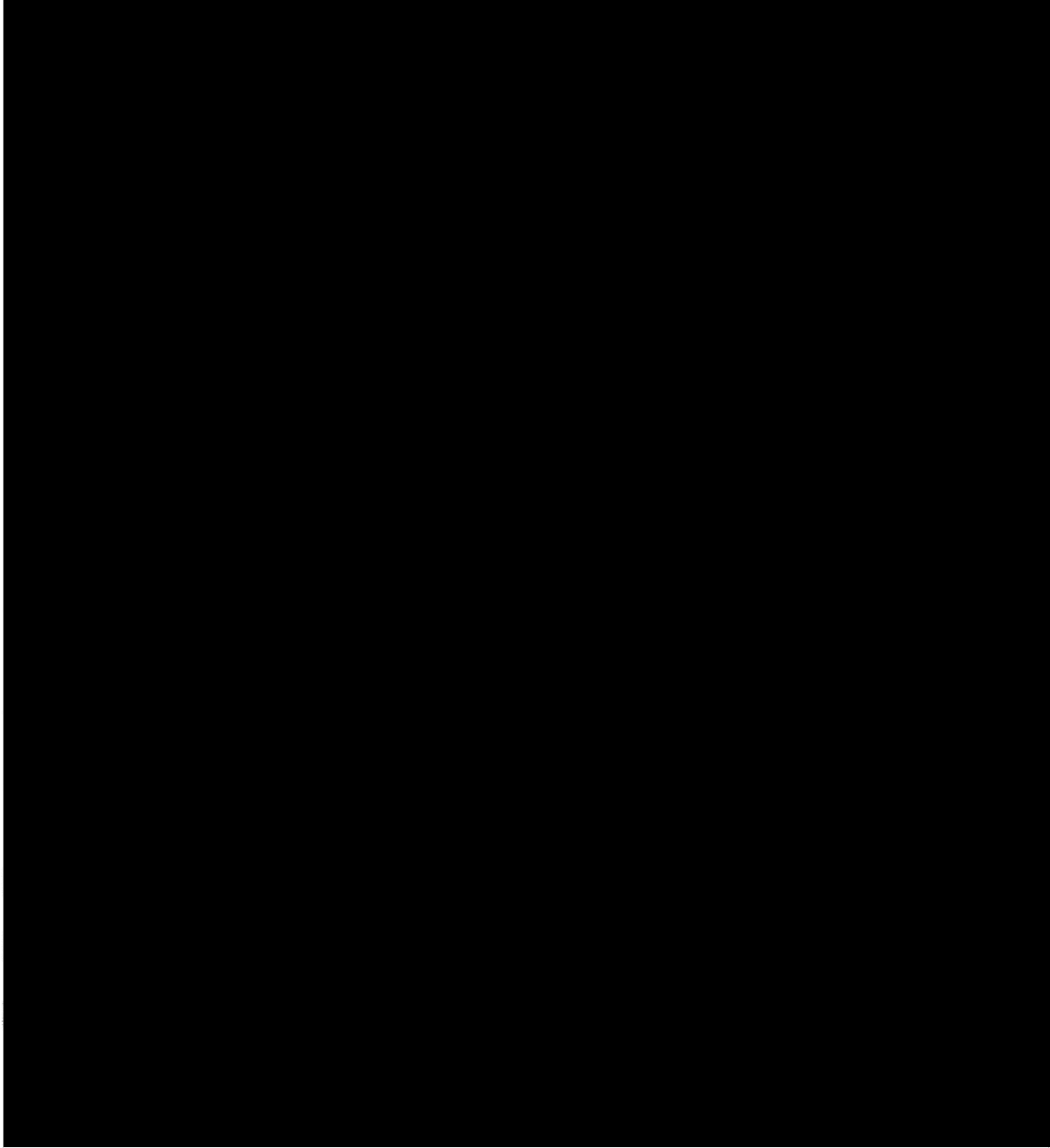
Disclaimer:\*\*This document contains sensitive information and is for official use only. Improper handling of this information could negatively affect individuals. Handle and secure this information according to Federal Motor Carrier Safety Administration privacy and security guidelines. Properly dispose of this document according to agency policy when this document is no longer needed.\*\*



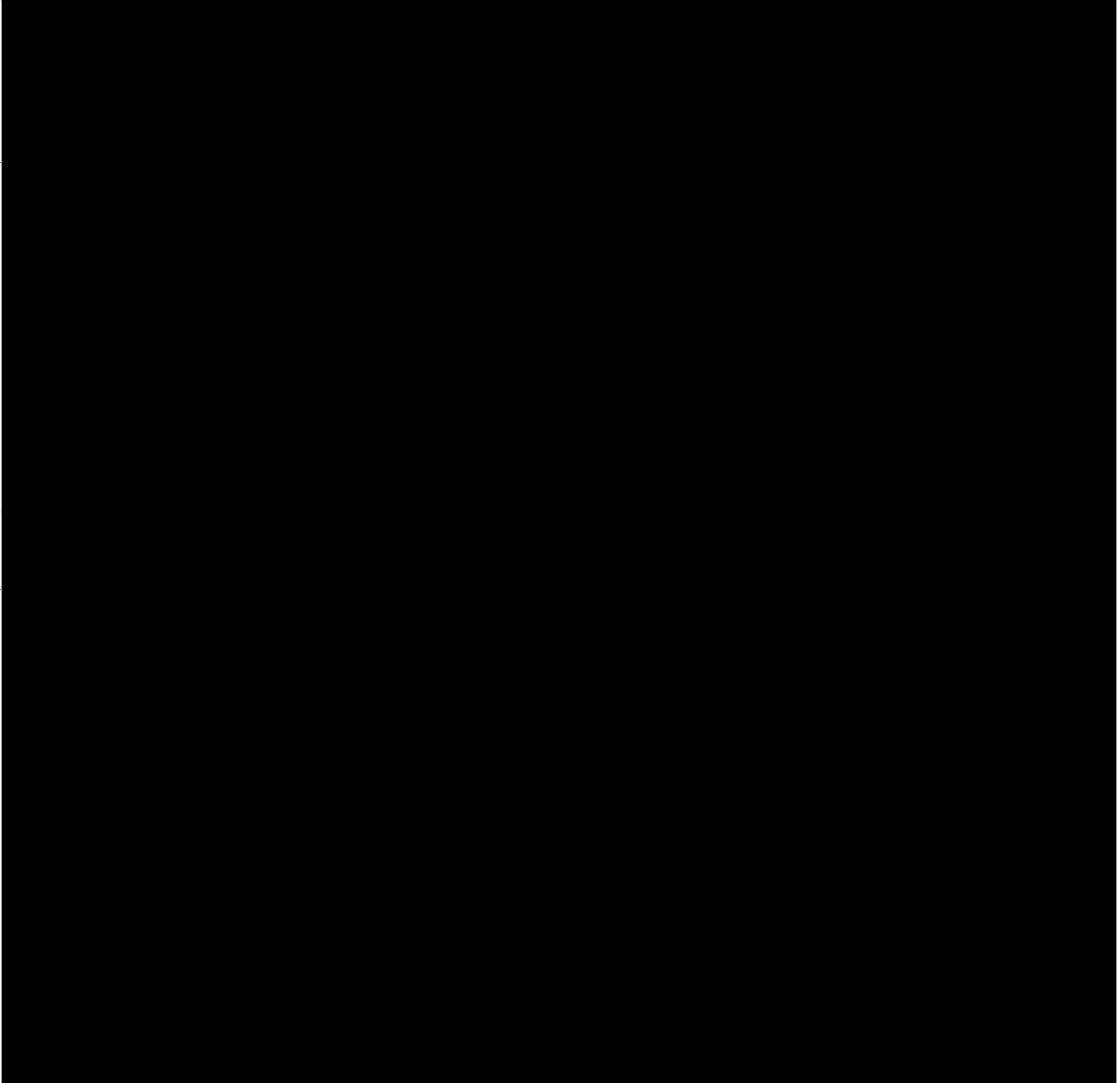
**Disclaimer:\*\*This document contains sensitive information and is for official use only. Improper handling of this information could negatively affect individuals. Handle and secure this information according to Federal Motor Carrier Safety Administration privacy and security guidelines. Properly dispose of this document according to agency policy when this document is no longer needed.\*\***



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**Disclaimer:\*\*This document contains sensitive information and is for official use only. Improper handling of this information could negatively affect individuals. Handle and secure this information according to Federal Motor Carrier Safety Administration privacy and security guidelines. Properly dispose of this document according to agency policy when this document is no longer needed.\*\***

## ATTACHMENT 4: Notice to Cease and Desist

STATE OF CALIFORNIA

GAVIN NEWSOM, *Governor*

### PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE  
SAN FRANCISCO, CA 94102-3298



May 29, 2024

TCP: Unlicensed  
Case No.: CSE-000146

Sergio Fausto  
SFL Transport LLC



### NOTICE TO CEASE AND DESIST

Dear Sergio Fausto:

The California Public Utilities Commission (CPUC) has become aware that SFL Transport LLC is operating without a valid authority.

Public Utilities (Pub. Util.) Code Sections 1031 and 5371 state that it is unlawful for a Passenger Stage Corporation or a Charter-Party Carrier to conduct any operations without a valid authority. Pub. Util. Code Sections 1034.5 and 5414.5 state that it is unlawful to publish or advertise without holding a valid operating authority. Advertisements include, but are not limited to, advertising in local directories or Yellow Pages, business cards, internet websites, and any other form of advertisements.

**Your company must immediately cease and desist from all unlawful operations including advertising.**

Page 2.

**Pub. Util. Code Section 5411 states in part that any TCP carrier which operates without a valid authority is guilty of a misdemeanor and is punishable by a fine not less than \$1,000.**

This letter places your company on notice that continued violations of the law without the required operating authority may result in enforcement actions, including criminal prosecutions. There will be no further warnings.

For information on obtaining a permit or certificate, go to:

<http://www.cpuc.ca.gov/transportationlicensing/>

Please contact me within five days of receiving this notice at the telephone number and email address listed below.

Sincerely,

*Ronald Truss*

Ronald Truss, Public Utilities Regulatory Analyst II  
California Public Utilities Commission  
Consumer Protection and Enforcement Division  
Transportation Enforcement Branch  
Email: [Ronald.Truss@cpuc.ca.gov](mailto:Ronald.Truss@cpuc.ca.gov)  
Telephone: 1-213-269-2737

---

ATTACHMENT# 5 Advertisement on Facebook 11/8/24 & 7/30/24

facebook

CPUC LINKS Suggested Sites Web Slice Gallery BB filedownload.ashx Getting started with... Email Penalty Waive... Matching style to g... Home | California D... Other favorites

Email or phone Password Log In Forgot Account?

# Agencia de Viajes & Multiservicios

## Transporte de Pasajeros

Passenger, Touristic, and Multiservice Transportation Services Company.  
Компания по предоставлению услуг пассажирских, туристических и мультисервисных перевозок.  
Entreprise de Services de Transport de Passagers, Touristique et Multiservices.

¿Sales de tu cita CBP One?  
Conoce todos nuestros servicios.

**760-481-5890**  
**760-481-9613**



**SFL Transport LLC**  
885 likes • 1K followers

Posts About Reels Photos Videos

Log in or sign up for Facebook to connect with friends, family and people you know.

Log In or Create new account

https://www.facebook.com/photo/?fbid=122176986434203139&set=a.122105841140203139

Type here to search 11:10 AM 11/8/2024

Email or phone Password

# Agencia de Viajes & Multiservicios

## Transporte de Pasajeros

Passenger, Touristic, and Multiservice Transportation Services Company.  
Компания по предоставлению услуг пассажирских, туристических и мультисервисных перевозок.  
Entreprise de Services de Transport de Passagers, Touristique et Multiservices.

¿Sales de tu cita CBP One?  
Nosotros te Orientamos y  
llamamos a tu destino.

**760-481-5890**  
Oficina USA: 760-481-9613



**SFL Transport LLC**  
356 likes • 439 followers

102 Pauline Ave. Calexico CA 92231

Posts About Reels Photos Videos



Email or phone

Password

### SFL Transport LIC

Empresa de Viajes & Multiservicios | Transporte de pasajeros

Multi, FrviriP. Comp,my | T1,mspurtiliu

Konpayi w.:ayaj ak sevis pliz ;e | Transpo pasaje KoMnaH111. no ryrewecBl-1RM 111 My.%-1-1c:epevi,y| l l,;rcax1.1nrK1.1,; rp,-;Hmopr

Page |r,wf| K1 Iran'>prn1afn1

◆ 102 [ 2n::l St, Calexico, CA, nited States, California

\. (760) 4fr1-5.:390

reservZic:ionesyventas,;ysfltransport le.com

0 Coen now

Online booking · Reservat'ons · In-s<ore shoppi'lg

\* t'Jot yet rated (J Reviews) 0

eliz d(a de las madres

## Porque D10S te dio esa

## Bendición

De ser MADRE ...



## HOW TO FILE AN APPEAL OF A CITATION

IF YOU WISH TO APPEAL THIS CITATION, YOU MUST DO SO WITHIN 20 CALENDAR DAYS OF THE DATE YOU RECEIVED THE CITATION.

**[There are two options to file your appeal, both options require use of the Appeal Form located at the link below:](#)**

### [Appeal Form](#)

**Option 1:** You may file your appeal electronically by following the instructions on the CPUC's Filing A Document Electronically (E-filing) webpage [E-File a Document \(ca.gov\)](#)

**Option 2:** You may file you appeal by sending six (6) paper copies addressed to CPUC's Docket office:

**California Public Utilities Commission  
Docket Office, 2<sup>nd</sup> Floor  
505 Van Ness Ave  
San Francisco, CA 94102**

### **[After Filing your appeal, you must also serve the Notice of Appeal:](#)**

To serve copies of the Notice of Appeal, you must attach a “**Certificate of Service for a Citation Appeal.**” In the Notice of Appeal, you must state why you are appealing the citation.

**To properly serve the Notice of Appeal, send the Notice of Appeal and any attachments by e-mail to the following recipients:**

1. **CPUC Docket Office**, ALJ\_Docket\_Office@cpuc.ca.gov
2. **Transportation Enforcement Inbox**, TEB\_Citation\_Appeals@cpuc.ca.gov
3. **Terra Curtis, Interim Director CPED**, Terra.Curtis@cpuc.ca.gov
4. **Michelle Cooke, Chief Administrative Law Judge**, Michelle.Cooke@cpuc.ca.gov
5. **ALJ Division Appeals Coordinator**, ALJ\_Div\_Appeals\_Coordinator@cpuc.ca.gov

***Please note if you are appealing by the Paper Copy process you must also file the Notice of Appeal either by emailing as described above or mailing to the following:***

***Original plus six copies to:***

1. **Docket Office**, California Public Utilities Commission, Docket Office, 2nd Floor, 505 Van Ness Ave., San Francisco, CA 94102

**One Copy Each to:**

2. **Terra Curtis, Interim Director**, Consumer Protection and Enforcement Division, California Public Utilities Commission, 505 Van Ness Ave., 2nd Floor, San Francisco, CA 94102
3. **Michelle Cooke, Chief Administrative Law Judge**, California Public Utilities Commission, Administrative Law Division, 505 Van Ness Ave., 5th Floor, San Francisco, CA 94102
4. **ALJ Division Appeals Coordinator**, California Public Utilities Commission, Administrative Law Division, 505 Van Ness Ave., 5th Floor, San Francisco, CA 94102
5. **Kenneth Bruno, Program Manager**, Consumer Protection and Enforcement Division, Transportation Enforcement Branch, 320 W. 4th Street Los Angeles, CA 90013

**[You may request an extension of time to file an appeal](#)**

You may request up to 30 days additional time to file an appeal. To ask for extra time, contact the Supervisor listed on the citation on or before the due date to seek a 30-day extension. A copy of the letter granting you an extension must be attached to your appeal.

The Citation Appeals Process can be found in Appendix A of CPUC Resolution ALJ-377, available through this link: [ALJ-377 Appendix A Citation Appeal Rules](#). The relevant Citation Appeals Process are also included in the packet you received along with the citation.

**[Paying the Citation and availability of Payment Plans](#)**

If you do not want to file an appeal and agree to comply with the citation and pay the fine, sign the Citation Compliance Agreement. Contact the Supervisor listed on the citation before the due date to seek authorization for any payment plans as necessary.

**[Frequently Asked Questions](#)**

**WHAT HAPPENS IF I DON'T RESPOND?**

If you do not respond to the citation within the 20 calendar days, you will be in default of the citation, and will lose your right to appeal the citation. In addition, CPED will immediately suspend your operating authority. If you do not pay the citation amount or make a payment arrangement by 30 days after suspension of your operating authority, CPED will revoke your operating authority. Once we revoke your operating authority, we cannot reinstate it. Instead, first you must pay the citation fine, and then apply to the CPUC for **new** operating authority and pay a filing fee. The CPUC may also act through a civil or criminal proceeding to recover any unpaid fines and to ensure compliance with applicable statutes and CPUC orders.

**WHAT ARE MY RIGHTS?**

If you file a Notice of Appeal, the CPUC will set a **hearing date** for your appeal to be heard before an Administrative Law Judge (ALJ).

**[Hearing Venue:](#)**

Until further notice, all appeal hearings will be telephonic due to the COVID-19 pandemic. Telephone instructions will be provided by the ALJ prior to the telephone hearing.

**At the hearing:**

There are two different types of Hearing Procedures for an Appeal to be heard before a CPUC Administrative Law Judge.

**Expedited Citation Appeal Procedure**

Resolution ALJ-377 provides for an expedited process for citations at or below the jurisdictional limit of the Small Claims Court in California as referenced in Public Utilities Code § 1702.1. This is currently \$10,000 for individuals and \$5,000 for corporations.

- Attorneys or representatives are not allowed to represent parties in the expedited process.
- No court reporters will be present, nor will a transcript be provided.
- You are entitled to have an interpreter present at the hearing to translate for you. The CPUC will provide the interpreter cost free. To have an interpreter at the hearing, you must make a written request to the Acting Chief Administrative Law Judge and to the Office of the Public Advisor (see contact information, on this form) no later than five business days before the date of your hearing.
- You may provide evidence and call witnesses to testify for you. If you will be providing evidence for the record of the hearing, you must provide that evidence to CPED no later than three days before the date of your hearing.
- A party who is subject to the Expedited Citation Appeal Procedure, may at any time prior to the swearing in of the first witness at the evidentiary hearing, request termination of the Expedited Citation Appeal Procedure, and that the matter be recalendared for hearing under the Commission's regular procedure for Citation Appeals. The Commission or the assigned Administrative Law Judge, when the public interest so requires, may at any time prior to the filing of a resolution addressing the Citation Appeal, terminate the Expedited Citation Appeal Procedure and recalendar the matter for hearing under the Commission's regular procedure for Citation Appeals.

**Regular Procedure for Citation Appeals**

For cases exceeding the small claims threshold above, or when the appellant requests termination of the expedited procedure, the CPUC will use the regular procedure for citation appeals.

- You may have an attorney or someone else represent you, but you must pay for the attorney or other representative.
- You may ask for a transcript of the hearing, but you must pay the cost of the transcript.
- You are entitled to have an interpreter present at the hearing to translate for you. The CPUC will provide the interpreter, and you will not have to pay for the interpreter's service. To have an interpreter at the hearing, you must make a written request to the Acting Chief Administrative Law Judge and to the Office of the Public Advisor (see contact information, below) no later than five business days before the date of your hearing.

- You may provide evidence and call witnesses to testify for you. If you will be providing evidence for the record of the hearing, you must provide that evidence to CPED no later than three days before the date of your hearing.

### **WHERE CAN I GET HELP?**

The CPUC's **Public Advisor's Office** can help you file your appeal. The Public Advisor's Office cannot appear at the hearing with you or help you present your appeal but can help you understand the appeal process. The Public Advisor's Office can be reached at:

Telephone: 866-849-8390 or 415-703-2074

Email: [public.advisor@cpuc.ca.gov](mailto:public.advisor@cpuc.ca.gov)

Mail: CPUC Public Advisor, 505 Van Ness Avenue, San Francisco, CA 94102

Administrative Law Judge Division  
California Public Utilities Commission  
505 Van Ness Avenue  
San Francisco, CA 94102



## CALIFORNIA PUBLIC UTILITIES COMMISSION (CPUC) INSTRUCTIONS FOR FILING A NOTICE OF APPEAL AND CERTIFICATE OF SERVICE FOR A CITATION APPEAL

*Note: When filing a citation appeal, it is important to review both the specific Citation Program under which your citation has been issued and Resolution ALJ-377. The Pilot Rules enacted in Resolution ALJ-299<sup>1</sup> and these Revised Rules enacted in Resolution ALJ-377<sup>2</sup> require citation appeals after January 1, 2015 to be filed with the CPUC's Docket Office.<sup>3</sup> Appendix A of Resolution ALJ-377 lists the Citation Appellate and General Order Appellate Rules ("Citation Appellate Rules"). Appendix B of Resolution ALJ-377 describes the interaction between Resolution ALJ-377 and the individual Citation Programs in existence as of the adoption of Resolution ALJ-377. The cited entity initiating the appeal is referred to as an "appellant" under Resolution ALJ-377, Citation Appellate Rule 2.*

*Resolution ALJ-377 can be found at the following link and the citing authority should provide a copy of it when the citation is issued:*

*<https://docs.cpuc.ca.gov/ResolutionSearchForm.aspx>.*

*A copy of the Citation Program under which the citation is issued can be obtained from the CPUC Division issuing the citation.*

*It is useful to also refer to the CPUC's Rules of Practice and Procedure (P&P Rules), as they are referred to in Resolution ALJ-377. The P&P Rules can be found on the CPUC's webpage <http://www.cpuc.ca.gov/codelawsrules/>.*

*The requirements for formatting your document and how and where to file are generally set forth in P&P Rules 1.5, 1.6 and 1.13. There is no filing fee for filing the Notice of*

---

<sup>1</sup> Effective as of January 1, 2015

<sup>2</sup> Effective as of July 1, 2020

<sup>3</sup> Rule 2 of the Citation Appellate Rules refers to a "Citation Program" as the "individual applicable statute, General Order or Resolution authorizing the issuance of a citation or authorizing the revocation of a license."

*Appeal. If you are filing your Notice of Appeal in hard copy, then submit an original and six exact copies of the document (including any attachments but not including the transmittal letter, if any.) (See P&P Rule 1.13(a)(2).) If you are filing electronically, please refer to the requirements in P&P Rule 1.13(b).*

*If you need general assistance with or have a question about filing the Notice of Appeal, contact the CPUC's Public Advisor's Office:*

The Public Advisor – CPUC  
505 Van Ness Avenue  
San Francisco, CA 94102  
(866) 849-8390 (toll free)  
[public.advisor@cpuc.ca.gov](mailto:public.advisor@cpuc.ca.gov)

**Specific Instructions for Using the Citation Appeal Forms:**

*Insert the following information into the blanks with the corresponding letters.*

**NOTICE OF APPEAL FORM**

- A. Insert the name of the person or entity filing the Notice of Appeal.  
(Citation Appellate Rule 3 and P&P Rule 1.6(b).)
- B. Insert the number of the citation being appealed and the date the citation was issued. (e.g., Citation Number 12345 issued on January 1, 2015).  
(Citation Appellate Rule 3.)
- C. Insert the amount of the citation. (Citation Appellate Rule 3.)
- D. Insert the name of the person or entity filing the Notice of Appeal.
- E. Insert the date of the citation that is appealed. (Citation Appellate Rule 5.)
- F. Insert the amount of the fine.

- G. Insert the date of any extension of time to file the appeal granted by CPED (if applicable).
- H. Insert the rationale for the appeal as specifically instructed in the Citation Program. If a Citation Program is silent on instructions for setting forth the rationale for the appeal, the Notice of Appeal must state the grounds for the appeal. The Notice of Appeal must also set forth additional items, if any, as required by the Citation Program. (Citation Appellate Rule 5.) If the Citation Program authorizes appellant to request an extension of time from a Division Director to file a Notice of Appeal, any extension received must be in writing and attached to the Notice of Appeal. (Citation Appellate Rules 4 and 5.)
- I. Insert the date that the Notice of Appeal is signed.
- J. Insert the name of the city in which the Notice of Appeal is signed.
- K. Insert the signature, name, mailing address, telephone number and, if available, electronic mail address of the person authorized to receive service and other communications on behalf of the person tendering the document. (P&P Rules 1.6 and 1.8.) This information is very important because it will be used by the CPUC to develop the official service list to give you notice of further required actions, hearing dates, etc. in the citation appeal. *Note: P&P Rule 1.8 requires this document to be signed. Please read the rule carefully as to who may sign the document, how to handle a document tendered on behalf of more than one person, and what representations are made by the signature.*
- L. List here all attachments to the Notice of Appeal and make sure the documents are attached in the format and manner required by P&P Rules 1.5 and 1.13. *Note: Citation Appellate Rule 4 requires any authorization of an extension of time to file a Notice of Appeal to be writing and attached to the Notice of Appeal. Rule 4 states: "Unless authorized by a Citation Program, there shall be no extension of time to file a Notice of Appeal from a citation issued pursuant to a Citation Program.....Any authorization of an extension of time to file a Notice of Appeal must be made by the Director (or designee) of the Commission Division*



*which issued the citation, in writing, subject to the provisions of the Citation Program, and must be attached to the Notice of Appeal.”*

## **CERTIFICATE OF SERVICE FORM**

**Note 1:** *If you are filing the Notice of Appeal in hard copy, the Certificate of Service MUST be attached to the Notice of Appeal. If you are filing the Notice of Appeal electronically, according to P&P Rule 1.13(b), the Certificate of Service must be transmitted with the document as a separate document when filing electronically, and this separate document must have its own caption. (See generally Citation Appellate Rule 6 and P&P Rule 1.9(e).)*

**Note 2:** *A Citation Appeal must be served at a minimum on the Chief Administrative Law Judge (with a copy to: [ALJ Div Appeals Coordinator@cpuc.ca.gov](mailto:ALJ_Div_Appeals_Coordinator@cpuc.ca.gov)), and on the Director of the Consumer Protection and Enforcement Division, on the same day that the Notice of Appeal is filed. The Notice of Appeal must also be served on other entities if required by the Citation Program. (See Citation Appellate Rule 6.)*

M. Insert the date that the Notice of Appeal is served.

N. Insert the names and addresses (and email addresses if served electronically) of the persons served with the Notice of Appeal. The generic position names and addresses for the Chief Administrative Law Judge and Director of the Consumer Protection and Enforcement Division are listed on the template. Because the persons in these positions may change, you will have to look up the individual names and email addresses to insert in the template. These names and email addresses can be found on the Commission website.

O. Insert the date the Certificate of Service is signed.

P. Insert the name of the city in which the Certificate of Service is signed.

Q. The person who serves the Notice of Appeal must sign the Certificate of Service and their name must be printed under the signature line.

## **PRIVACY NOTICE FORM**

*Note: The citation appeal is filed with the CPUC, becomes a public record, and will be posted on the Commission's website. Therefore, any information you provide in the Notice of Appeal, including but not limited to, your name, address, city, state, zip code, telephone number, email address, the facts of your rationale for the citation appeal and any other facts stated therein, will be available on-line for later public viewing. The Privacy Statement attached to the Notice of Appeal must be filled out.*

*In limited circumstances, the CPUC may file a document under seal. If you wish to seek to file the Notice of Appeal with some or all of the information under seal, you cannot file electronically and must file in hard copy. Furthermore, that document must be tendered with a motion setting forth the rationale and legal citations as to why portions of the document should be filed under seal and tendered as follows. The Notice of Appeal itself and requisite exact copies should be tendered in both a redacted form (e.g., the document with the alleged confidential information excised) and unredacted form (e.g., a complete copy of the document, with both the public and allegedly confidential information included, in a sealed envelope). It is anticipated that Notices of Appeal for citation appeals will be filed as public documents. In the unlikely event you believe that there are legal grounds for filing a portion of the Notice of Appeal under seal, it may be helpful to consult a private attorney or representative to assist in your representation. However, please note that the timeframes for filing a Notice of Appeal in many citation appeals are short, so you should commence this process as soon as possible.*

R. Sign and date the Privacy Notice and print your name under the signature.

**See Next Page for  
Notice of Appeal Form**

***\*(Note: The capital letters in parenthesis correspond to the instruction sheet and are not part of the official filing.)***

**BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA**

Appeal of

(A)\* \_\_\_\_\_

\_\_\_\_\_ from (B) \_\_\_\_\_

In the amount of (C) \_\_\_\_\_ issued by the California Public Utilities Commission Consumer Protection and Enforcement

Division

(Leave blank for PUC use)

**NOTICE OF APPEAL**

(D) \_\_\_\_\_ files this Notice of Appeal from the citation issued on (E) \_\_\_\_\_ with a fine amount of (F)\$ \_\_\_\_\_. An extension was granted by CPED (if applicable) to (G) \_\_\_\_\_ to file this appeal.

The rationale for the appeal is as follows:

(H) \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_.

Dated (I) \_\_\_\_\_, at (J) \_\_\_\_\_, California.

(K) \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
  
\_\_\_\_\_  
\_\_\_\_\_

Attachments

(L) \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**CERTIFICATE OF SERVICE**

***(Note: The Certificate of Service is attached to the Notice of Appeal only when filing by hard copy. If filing by electronic copy, you must file the Certificate of Service as a separate document. A template for the Certificate of Service as a separate document is also attached to this package.)***

I certify that on (M) \_\_\_\_\_, I have by mail this day served a true copy of the original attached Notice of Appeal on: (N) (Insert Name of Chief Administrative Law Judge \_\_\_\_\_), Chief Administrative Law Judge, California Public Utilities Commission, 505 Van Ness Avenue, 5<sup>th</sup> Floor, San Francisco, CA 94102, (Insert email of Chief Judge) \_\_\_\_\_; [ALJ\\_Div\\_Appeals\\_Coordinator@cpuc.ca.gov](mailto:ALJ_Div_Appeals_Coordinator@cpuc.ca.gov) (electronically); (Insert Name of Director of Consumer Protection and Enforcement Division \_\_\_\_\_, Director, Consumer Protection and Enforcement Division, 505 Van Ness Avenue, San Francisco, CA 94102, (Insert email of Director of CPED) \_\_\_\_\_); and (Insert the names, addresses and emails of anyone else required to be served by the Citation Program you are cited under):

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_.

Dated (O) \_\_\_\_\_, at (P) \_\_\_\_\_, California.

(Q)

\_\_\_\_\_  
\_\_\_\_\_

**Privacy Notice**

This message is to inform you that the Docket Office of the California Public Utilities Commission (CPUC) intends to file the above-referenced Notice of Appeal electronically instead of in paper form as it was submitted.

Please note: Whether or not your Notice of Appeal is filed in paper form or electronically, Notices of Appeal filed with the CPUC become a public record and may be posted on the CPUC's website. Therefore, any information you provide in the Notice of Appeal, including but not limited to, your name, address, city, state, zip code, telephone number, E-mail address and the rationale of your Notice of Appeal may be available on-line for later public viewing.

Having been so advised, the Undersigned hereby consents to the filing of the referenced Notice of Appeal.

(R)

\_\_\_\_\_  
Signature (same as person authorized to sign the Notice of Appeal)      \_\_\_\_\_  
Date

\_\_\_\_\_  
Print Name

**See Next Page for  
Certificate of Service Form  
For Electronic Filings**



***\*(Note: The capital letters in parenthesis correspond to the instruction sheet and are not part of the official filing.)***

**BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA**

Appeal of

(A)\* \_\_\_\_\_

\_\_\_\_\_ from (B) \_\_\_\_\_

In the amount of (C) \_\_\_\_\_ issued by the California Public

Utilities Commission Consumer Protection and Enforcement

Division

(Leave blank for PUC use)

**CERTIFICATE OF SERVICE**

I certify that on (M) \_\_\_\_\_, I have by mail this day served a true copy of the original attached Notice of Appeal on (N)(Insert Name of Chief Administrative Law Judge \_\_\_\_\_, Chief Administrative Law Judge, California Public Utilities Commission, 505 Van Ness Avenue, 5<sup>th</sup> Floor, San Francisco, CA 94102, (Insert email of Chief Judge); [ALJ\\_Div\\_Appeals\\_Coordinator@cpuc.ca.gov](mailto:ALJ_Div_Appeals_Coordinator@cpuc.ca.gov) (electronically); (Insert Name of Director of Consumer Protection and Enforcement Division) \_\_\_\_\_, Director, Consumer Protection and Enforcement Division, 505 Van Ness Avenue San Francisco, CA 94102, (Insert email of Director of CPED) \_\_\_\_\_);

and (Insert the names, addresses and emails of anyone else required to be served by the Citation Program you are cited under):

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Dated (O) \_\_\_\_\_, at (P) \_\_\_\_\_, California.

(Q)

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***(Note: This Certificate of Service as a separate document is to be used if you are filing the Notice of Appeal electronically. If you are filing the Notice of Appeal by hard copy, you must attach the Certificate of Service to the Notice of Appeal.)***

**PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA**

Resolution ALJ-187  
Administrative Law Judge Division  
September 22, 2005

**RESOLUTION**

RESOLUTION ALJ-187. Adopts Procedures for Appeal of Citations Issued to Household Goods Carriers, Charter Party Carriers, and Passenger Stage Corporations.

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By a series of previous resolutions, the Commission has developed a program under which the Consumer Protection and Safety Division (CPSD) is authorized to issue citations to various classes of carriers for violation of the Public Utilities Code or Commission orders. In turn, a carrier issued such a citation may accept the fine imposed or contest it through a process of appeal. Now, in an effort to make this program more comprehensive and this process more efficient, the Commission has prepared new procedures to govern the appeal of these citations.

A draft of this resolution was issued on August 19, 2005, for public review and comment. No one filed comments. The resolution is adopted as originally proposed with minor clarifications.

**IT IS THEREFORE RESOLVED** that the following procedures shall govern appeals of citations for violation of statutes or Commission orders relating to household goods carriers, charter party carriers, and passenger stage corporations:

1. *Citation: Contents.* The citation served upon the respondent by the investigator shall include:
  - (a) A specification of each statute or Commission order allegedly violated;
  - (b) A statement of the facts upon which each alleged violation is based;
  - (c) A statement that the respondent may either pay the amount of the fine set forth in the citation or appeal the citation, as set forth herein, and that the respondent will forfeit the right to appeal the citation by failing to do either of these things within the allowable period;

- (d) An explanation of how to file an appeal, including an explanation of the respondent's right to have a hearing, to have a representative at the hearing, to request a transcript, and to request an interpreter; and
  - (e) A form of Notice of Appeal, and the form for requesting an interpreter.
2. *Citation: Response.* The respondent, within 20 days after the date of service of the citation, shall either remit payment of the full amount of the fine to CPSD, agree with CPSD on conditions for payment, or serve a Notice of Appeal upon CPSD. Upon request made to CPSD before the expiration of this deadline, the time to pay the fine or serve a Notice of Appeal may be extended by CPSD for a reasonable period not to exceed 30 days. CPSD may, in its discretion, grant one additional extension at the request of the respondent.
3. *Citation: Payment of fine; default.* If the respondent pays the full amount of the fine within the time allowed hereunder, the citation shall become final. If the respondent, within the time allowed pursuant to Paragraph 2, fails to pay the full amount of the fine or to file a Notice of Appeal, or if the respondent, having entered into an agreement with CPSD, fails to comply with any provision of that agreement, the respondent shall be in default, and the citation shall become final. In this event, the respondent shall have forfeited its right to appeal the citation, and CPSD shall immediately suspend the respondent's license. If the respondent fails to pay the full amount of the fine within 30 days thereafter, CPSD shall revoke the respondent's license, and may take any other action provided by law to recover any unpaid fine and ensure compliance with applicable statutes and Commission orders.
4. *Citation: Appeal.*
- (a) The Chief Administrative Law Judge shall designate an Administrative Law Judge to hear appeals of citations.
  - (b) Appeals of citations shall be heard in the Commission's San Francisco or Los Angeles courtrooms on regularly scheduled days. Appeals shall be calendared accordingly, except that a particular matter may be recalendared at the direction of the designated Administrative Law Judge.
  - (c) The appeal shall be brought by serving a Notice of Appeal upon CPSD, and the respondent shall indicate the grounds for the appeal in the notice. CPSD shall promptly advise the Chief Administrative Law Judge upon receipt of a timely Notice of Appeal.
  - (d) Upon advice from CPSD that a citation has been appealed, the Chief Administrative Law Judge shall promptly forward the matter to the

designated Administrative Law Judge, who shall set the matter for hearing on the first Citation Calendar not less than 10 days after advice of the appeal is received from CPSD. The Administrative Law Judge may, for good cause shown or upon agreement of the parties, grant a reasonable continuance of the hearing.

- (e) The respondent may order a transcript of the hearing, and shall pay the cost of the transcript in accordance with the Commission's usual procedures.
  - (f) The respondent shall be entitled to the services of an interpreter at the Commission's expense upon written request to the Chief Administrative Law Judge not less than three business days prior to the date of the hearing.
  - (g) The respondent may be represented at the hearing by an attorney or other representative, but such representation shall be at the respondent's sole expense.
  - (h) At the hearing, CPSD shall open and close. The Administrative Law Judge may, in his or her discretion, alter the order of presentation. Formal rules of evidence do not apply, and all relevant and reliable evidence may be received in the discretion of the Administrative Law Judge.
  - (i) Ordinarily, the appeal shall be submitted at the close of the hearing. In the discretion of the Administrative Law Judge upon a showing of good cause, the record may be kept open for a reasonable period to permit a party to submit additional evidence or argument.
  - (j) The Administrative Law Judge shall issue an order resolving the appeal not later than 30 days after the appeal is submitted, and the order shall be placed on the first available agenda, consistent with the Commission's applicable rules.
  - (k) From the date that CPSD receives a Notice of Appeal to and including the date when the final order is issued, neither the respondent nor the investigator, or agent or other person on behalf of the respondent or investigator, may communicate regarding the appeal, orally or in writing, with a Commissioner, Commissioner's advisor, or Administrative Law Judge, except as expressly permitted under these procedures.
5. *New Operating Authority.* A carrier whose license has been revoked hereunder may apply for new operating authority upon paying the fine in full. The application shall document (1) full payment of the fine, (2) correction of all violations for which the carrier was cited, (3) actions the carrier proposes in

order to prevent future violations, and (4) any other evidence demonstrating the carrier's ability and commitment to conducting its proposed operations in compliance with statutes and Commission orders.

This resolution becomes effective today.

I certify that this resolution was adopted by the Public Utilities Commission at its regular meeting on September 22, 2005, the following Commissioners approving it:

          /s/ STEVE LARSON          

STEVE LARSON  
Executive Director

MICHAEL R. PEEVEY  
                                  President

GEOFFREY F. BROWN

SUSAN P. KENNEDY

DIAN M. GRUENEICH

JOHN A. BOHN

Commissioners

## CERTIFICATE OF SERVICE

I certify that I have this day served the following documents on SFL Transport, LLC

1. Administrative Citation T.24-12-03 dated December 18, 2024
2. Investigation report and Attachments
3. Citation Compliance Agreement
4. Credit/Debit Card Authorization Form
5. How to File an Appeal
6. *Notice of Appeal Form* and *Certificates of Service Form* (from Resolution ALJ-377)
7. Resolution ALJ-187
8. Certificate of Service

December 18, 2024

*Ronald Truss*

Ronald Truss  
Public Utilities Regulatory Analyst II