



California Public Utilities Commission Committee on Finance and Administration



Report on Strategic Directive 10

Decision-Making Process

January 15, 2020

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Strategic Directive 10

Decision-Making Process

1) Ensure that commissioners are actively engaged in the decision-making process

2) Implement procedures, practices, and rules for Commission decision-making that are consistent, understandable, and accessible to the public, interested persons, and parties

3) Enable and encourage the parties, the public, and governmental entities to participate actively in developing the record in Commission proceedings

4) Ensure decision-making documents in the administrative record are logged and available to the public to the extent allowed by law, and that accessibility to the record is regularly assessed and enhanced





1) Commissioners are Actively Engaged

- The Commissioners have been holding more regular Ratesetting Deliberative Meetings to work through challenging issues, improving the Commission's decision making function and promoting policies that benefit the California economy and protect ratepayers.
 - 2019: 14 meetings and 25 agenda items
- The Commissioners have been actively engaged in their own proceedings, including chairing or participating in workshops, Public Participation Hearings (PPHs) and Advisory Boards meetings





1) Commissioners are Actively Engaged

Examples:

- Workshops
 - De-Energization: Focus on First Responders in Calabasas
 - Water Sales Forecasting & Rising Drought in Sacramento
 - TNC Access for Persons with Disabilities in Los Angeles
- Public Forums
 - Proposed SPRINT/T-MOBILE Merger in Fresno, Los Angeles and San Diego
 - Communications En Banc in Sacramento





1) Commissioners are Actively Engaged

Examples:

- Public Participation Hearings
 - Cal Water GRC in Dixon, Palmdale, Bakersfield, Kernville, Selma, Lucerne, Chico, Willows, Oroville, Torrance, Montebello, Stockton, and San Carlos
 - SCE Grid Safety and Reliability Program in Rialto and Oxnard
 - San Gabriel Valley Water GRC in Fontana
 - San Diego Gas & Electric GRC Phase II in Escondido
- Commission response to emergencies
 - Emergency Meeting on PSPS
 - PHC on Emergency Disaster Relief proceeding
 - PHC on Order to Show Cause on PSPS





Strategic Directive 10 – Decision-Making Process

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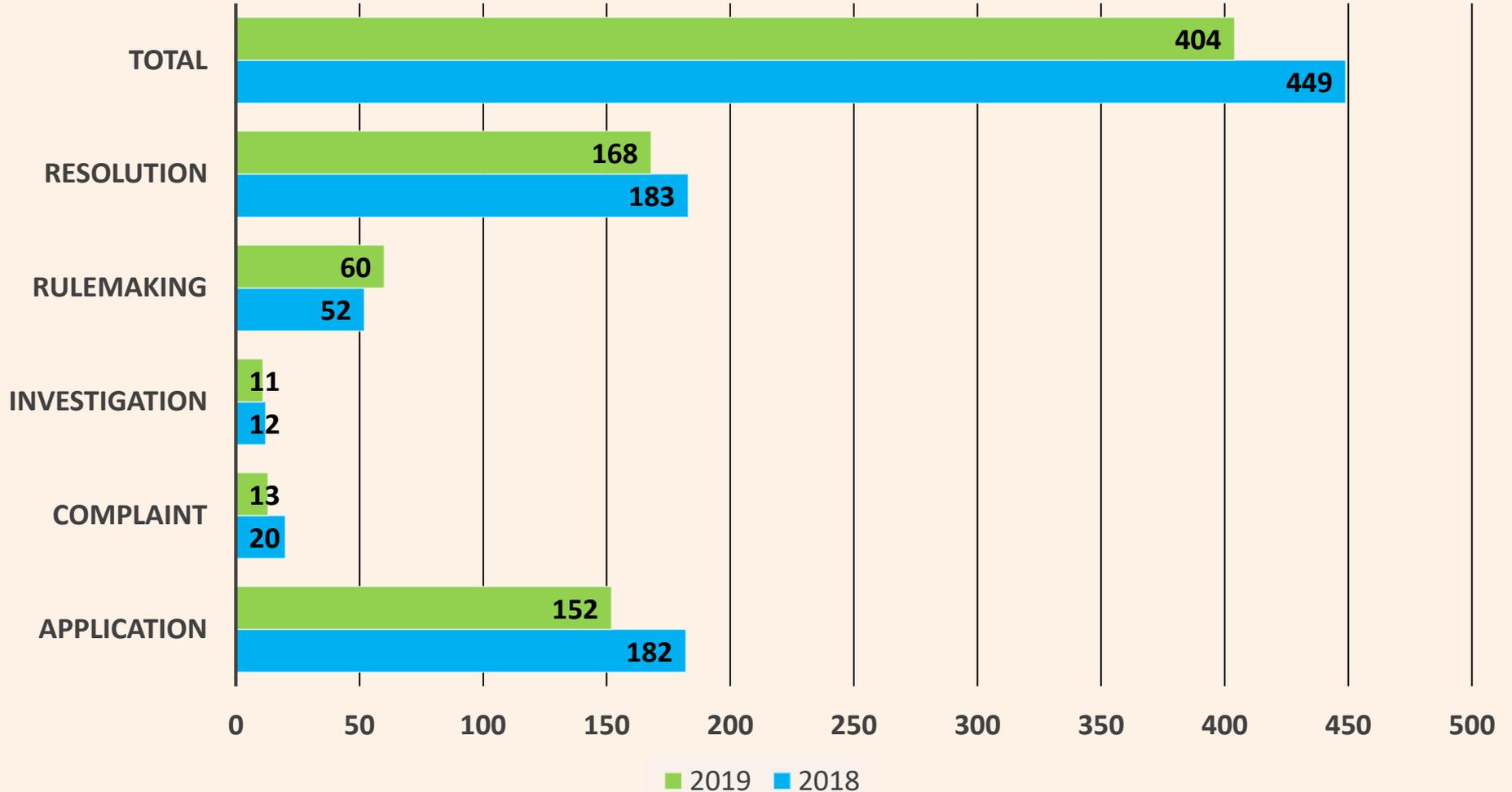
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2) Decision Making

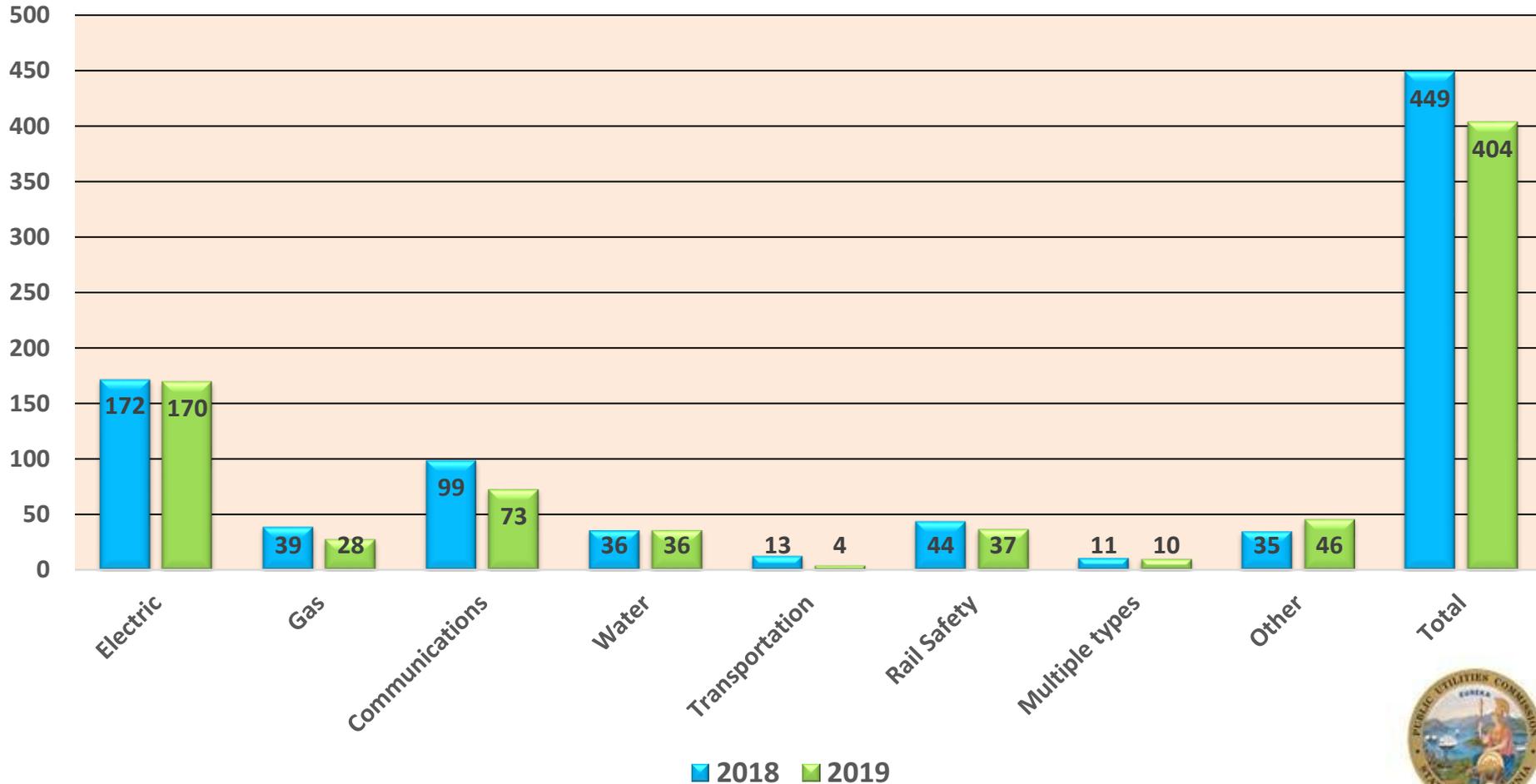
Decisions by Type 2018 and 2019



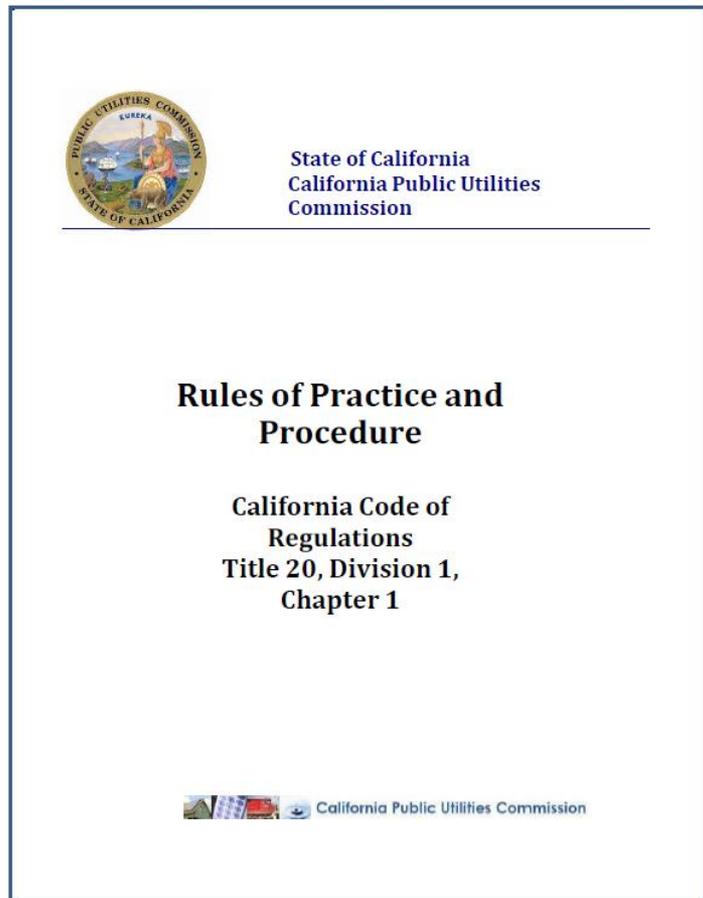


2) Decision Making

Decisions by Utility Industry 2018 and 2019



2) Rules, Procedures, Practices



The Rules of Practice and Procedure are:

- Published in California Code of Regulations.
 - Title 20, CCR ch.1
- Available on the Commission's website under the Proceedings tab or via web link below:
 - <http://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M209/K618/209618807.PDF>
- Cited in Scoping Memos in every proceeding.





2) Rules, Procedures, Practices

Rules of Practice and Procedure are reviewed and revised regularly to:

- Respond to new legislation (e.g., catastrophic wildfire proceedings).
- Respond to new technology (e.g., e-filing of documents).
- Respond to Commission initiatives (e.g., Proposals of Policy and Governance Committee)
 - Changes are proposed and adopted pursuant to Administrative Procedure Act (Gov't Code section 11340 et seq.)
 - Only Commission action governed by APA
 - Next round of revisions to be proposed in early 2020





2) Rules, Procedures, Practices

Procedures and Practices promote accessibility and understanding.

- Commission "Discuss and Hold" for items on voting meeting agenda
- Cover letter with instructions for comments on each proposed decision
- Public comment on draft resolutions from staff





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3) Enable and Encourage Parties to Participate

Information on procedures is available on the Commission's website.

Examples:

- Intervenor Compensation program (<http://www.cpuc.ca.gov/icompe>)
- Practitioner's Page (http://www.cpuc.ca.gov/practitioner_page)





3) Enable and Encourage Parties to Participate

Intervenor Compensation

	2018	2019
Total IComp Requests	127	137
Number of Unique Intervenors Requesting IComp	40	38
Total IComp Decisions Issued	95	97
Total IComp Requested in Calendar Year	\$14,330,148.79	\$16,883,707.57
Total IComp Awarded in Calendar Year	\$10,168,178.39	\$11,965,124.75



3) Enable and Encourage Parties and the Public to Actively Participate

Public Comments on Docket Card

[Search Results](#) [Main Page](#)

R1602007 - Public Comments

Description: Order Instituting Rulemaking to Develop an Electricity Integrated Resource Planning Framework and to Coordinate and Refine Long-Term Procurement Planning Requirements.

This page includes the Public Comments for this proceeding. The CPUC values your input on our proceedings, as public comments help us reach an informed decision.

Tip: You may download these comments as a **PDF** by clicking the **PDF Download** button below.
To provide us your thoughts on this proceeding, click on the **Add Public Comment** button below.

DISCLAIMER: Comments that include inappropriate language, or language that is potentially slanderous, purposefully demeaning of some specific person or persons, or threatening violence may not be posted. Additionally, anonymous comments will not be posted.

[Add Public Comment](#)

Rows Hide Removed Comments [PDF Download](#)

Use the **Flag as Offensive or Inappropriate** link to submit a complaint on Public Comment.

row(s) 1 - 5 of 5

Glane Clark	Redondo beach, CA 90277
Keep the plant alive!! It's easy power to bring on and offline which means more stable power for all in South Bay.	
Nov 06, 2019 7:18 pm	Flag as Offensive or Inappropriate
Colby Allerton	Redondo Beach, CA 90277
The City of LA and Redondo Beach - and the RESIDENTS - want wetlands and parks here. They are planning and funding for such. Studies show we have plenty of electricity capacity available in the LA area. This old peaker plant polluting eyesore needs to go by 2020.	
Nov 06, 2019 1:31 pm	Flag as Offensive or Inappropriate



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4) Ensure Documents in the Record are Available

The Docket Card on the Commission's website reflects all filed public documents in each formal proceeding with hyperlinks to pdfs.

Proceeding | **Documents** | Rulings | Decisions | Public Comments

Search Results | Main Page

R1812005 - Documents

This page includes links to all **Documents** filed in this proceeding.

Tip: This is a sortable list. You can sort columns by clicking on the column headers. You may download your search results as a **Microsoft Excel Spreadsheet** by clicking the **Download** button below.

Click on the actual **Document Type** for details related to that proceeding.

Rows

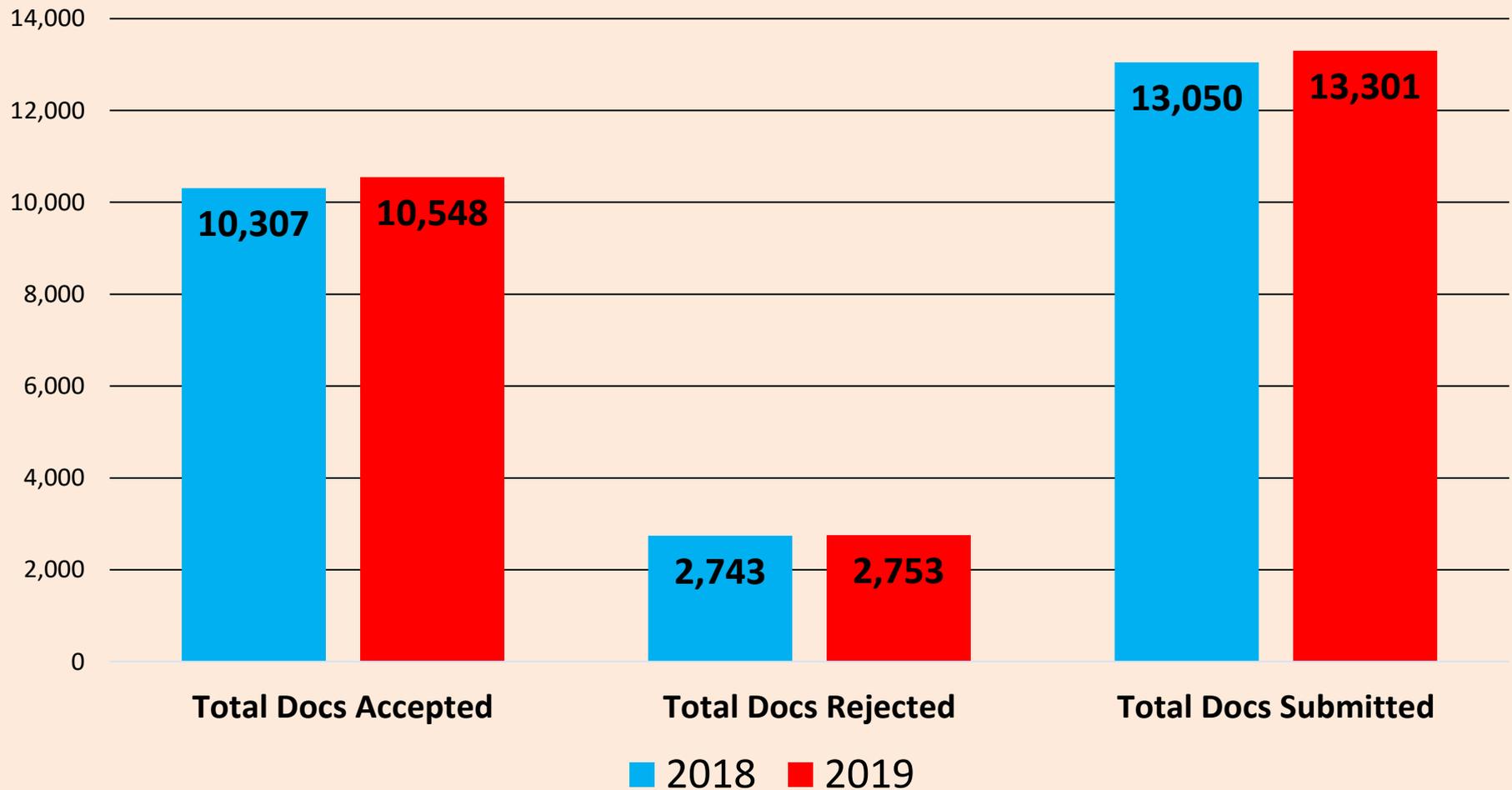
1 - 100 of 326

<u>Filing Date</u>	<u>Document Type</u>	<u>Filed By</u>	<u>Description</u>
January 06, 2020	RULING	ALJ/POIRIER/CPUC	E-mail Ruling Granting Party Status to the Redwood Coast Energy Authority, the Clean Power Alliance of Southern California, and the County of Nevada
January 03, 2020	MOTION	County of Nevada	Motion for Party Status of County of Nevada
December 30, 2019	NOTICE	Mussey Grade Road Alliance	Amended; of intent to claim intervenor compensation



4) Ensure Documents in the Record are Available

2018 and 2019 Documents Submitted



4) Ensure Documents in the Record are Available

Instructions for e-filing are available on Commission's website:

<https://www.cpuc.ca.gov/efile/>

Electronic filing lets you send documents to the CPUC where they will be processed and included with other pleadings in formal proceedings.

In three steps you will create a cover sheet, attach documents, and send them to the CPUC.

You will get an instant receipt when your document package has been received at the CPUC.

To get started, you'll need the following:

- All the documents you want to file saved in PDF/A format. For more information about the PDF/A format, please see Section #4 of the [User's Guide](#).

Please Log In

Email

Password is no longer required

New users must register

Additional services for registered users

In order to update your personal data, please enter your email address at left.

Your page ID for tech-support: 66668.7135

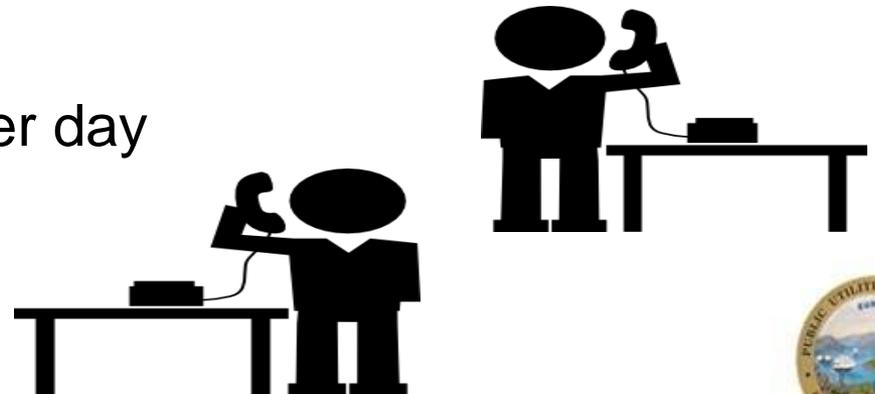
3.4.224.P-4/11/19





4) Ensure Documents in the Record are Available

- Rule 1.14.(d) provides an opportunity to correct errors in submissions for filing after review and notification by Docket Office staff.
- Telephone help from Docket Office staff is available for all filing inquiries.
 - Approximately 10 calls per day





4) Ensure Documents in the Record are Available

Assessing and Enhancing Accessibility:

New features for online accessibility through Docket Card providing:

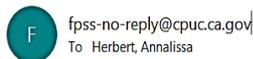
- Transcripts of public participation hearings, prehearing conferences, evidentiary hearings (Spring 2019)
- Online public comments portal for proceedings (soft roll-out)
- Supporting documents in formal proceedings (e.g., exhibits) (in development)
- E-filing of confidential documents (in development)



4) Ensure Documents in the Record are Available

Information on how to subscribe to a proceeding is available on the Commission's website. (<http://subscribecpuc.cpuc.ca.gov>). Weblinks to published documents are sent to subscriber's email address at no charge.

CPUC-FPSS Subscription: 'Agenda Docs'



Fri 11/15/2019 10:08 PM

This email shows the documents you have subscribed to using the California Public Utilities Commission Subscription Service. Details of this subscription are listed below the document links.

Filed/Other:

The table below shows documents associated with your subscription including documents that have been accepted by the CPUC for filing.

Proceeding #	Document Type	Title	Links	Publish Date/Time
	Comment Resolution	Proposed Comment Res. W-5208 - Owens Valley Water Co. (Advice Letter 20)	PDF (546 KB)	11/15/2019 2:43:06 PM
	Comment Resolution	Proposed Comment Res. W-5210 - San Jose Water Company (Advice Letter 532)	PDF (326 KB)	11/15/2019 2:54:51 PM
	Comment Resolution	Proposed Comment Res. W-5211 - California-American Water Company (Advice Letter 1220)	PDF (280 KB)	11/15/2019 2:54:54 PM
	Daily Calendar	Daily Calendar - 11-15-2019.docx	DOCX (87 KB) HTML (301 KB) PDF (1055 KB)	11/15/2019 8:22:01 AM
A1609007	Final Decision	D1911026 Order Extending Statutory Deadline	DOCX (27 KB) PDF (376 KB)	11/15/2019 1:12:51 PM
A1701012,A1701018,A1701019	Proposed Decision	Proposed Decision filed by ALJ/HYMES/CPUC on 11/15/2019 Conf# 141778 (Certificate Of Service)	PDF (184 KB)	11/15/2019 3:24:52 PM
A1701012,A1701018,A1701019	Proposed Decision	Proposed Decision filed by ALJ/HYMES/CPUC on 11/15/2019 Conf# 141778	PDF (545 KB)	11/15/2019 3:24:50 PM
A1706030	Final Decision	D1911010 Granting AECA Compensation For Contribution to D1801012 And D1811027	DOCX (66 KB) PDF (948 KB)	11/15/2019 2:43:09 PM
A1706030	Final Decision	D1911009 Granting IComp to TURN for Substantial Contribution to Decision 18-11-027	DOCX (64 KB) PDF (808 KB)	11/15/2019 10:39:49 AM
A1810003	Final Decision	D1911015 Granting IComp to TURN for Substantial Contribution to D1901025	DOCX (57 KB) PDF (804 KB)	11/15/2019 12:09:58 PM
A1812019	Proposed Decision	Proposed Decision filed by ALJ/BEMESDERFER/CPUC on 11/15/2019 Conf# 141757	PDF (203 KB)	11/15/2019 1:57:48 PM





4) Ensure Documents in the Record are Available Central Files (<https://www.cpuc.ca.gov/general.aspx?id=2750>)

Home :: Transparency :: Order A Document

Order A Document

Note that Decisions, Resolutions, and Rulings signed/issued by the Commission and certain other documents starting July 2000 are now available online. Please see our "[Guidelines For Access to PUC Records](#)".

Visit the [Document Search page](#) to start a search (or to search for documents associated with an active proceeding, visit our [proceedings search page](#).)

If you need other documents, such as documents issued before July 2000 or filings made by parties and utilities, please request them by submitting our online form (scroll down and click the button below).

Transcripts may be ordered from our court reporters unit. Their phone number is: (415) 703-2288, send an e-mail to reporting@cpuc.ca.gov, or download and fill out a [transcript order form](#).

If you need further assistance, please E-mail cen@cpuc.ca.gov to reach the Central Files Office. The Central Files Office is open to the public to review and copy files between 8:00 a.m. and noon Pacific Time, Monday through Friday.

Minimum Charge: \$2.00

- Documents @ \$.20 per page
- Docket Sheets @ \$.25 per page
- Transcripts @ \$4.65 per page
- Certified Documents @ \$1.00 per page
- Additional shipping charge for Archived Documents @ \$7.00 per box

[Click Here to Order Documents Online](#)

The CPUC also has a free [online subscription service](#) that allows access to documents published on our website



Compliance with Strategic Directive-10

CPUC staff believe the organization is substantially in compliance with SD-10.





Appendix





2018 Intervenors Requesting IComp

Agricultural Energy Consumers Association
Alliance for Nuclear Responsibility
Brightline Defense Project
California Environmental Justice Alliance
California Housing Partnership Corporation
Californians For Green Nuclear Power, Inc.
Center for Accessible Technology
Central Coast Alliance United for a Sustainable Economy
CforAT, NAAC and the Latino Business Chamber of
Greater Los Angeles (jointly), Greenlining, and UCAN
Clean Coalition
Community Environmental Council
Consumer Federation of California
Environmental Defense Fund
Forest Residents Opposing New Transmission Lines
Friends of the Earth
Green Power Institute
L. Jan Reid
LandWatch Monterey County
Leadership Counsel for Justice and Accountability
Mussey Grade Road Alliance
National Asian American Coalition
Natural Resource Defense Council

Open Door Legal (Formerly Bayview/Hunters Point
Community Legal)
Planning and Conservation League Foundation
Protect Our Communities Foundation
Public Trust Alliance
Public Watchdogs
Public Water Now
San Diego Airport Parking Company
San Luis Obispo Mothers for Peace
Self-Help Enterprises
Sierra Club
Small Business Utility Advocates
Surfrider Foundation
The Greenlining Institute
The Utility Reform Network
Union of Concerned Scientists
Utility Consumers' Action Network
Water Plus
Women's Energy Matters



2019 Intervenors Requesting IComp

Agricultural Energy Consumers Association
California Environmental Justice Alliance
California Farm Bureau Foundation
California State Association of Counties
Californians For Green Nuclear Power, Inc.
Center for Accessible Technology
Center on Race, Poverty & the Environment
Charles Goldman
Consumer Federation of California
Defenders of Wildlife
Disability Rights California
Disability Rights Education & Defense Fund
East Yard Communities For Environmental Justice
Environmental Defense Fund
Friends of the Earth
Green Power Institute
L. Jan Reid
Leadership Counsel for Justice and Accountability
Mendocino County, Napa County,

Sonoma County and The City of Santa Rosa
Mussey Grade Road Alliance
National Asian American Coalition
Natural Resources Defense Council
Protect Our Communities Foundation
Public Trust Alliance
Salinas Valley Water Coalition
San Diego Airport Parking Company
Self-Help Enterprises
Sierra Club
Small Business Utility Advocates
The Greenlining Institute
The National Diversity Coalition
The Utility Reform Network
Union Of Concerned Scientists
Utility Consumers' Action Network
Vote Solar
Wild Tree Foundation
William B. Abrams
Women's Energy Matters