



CPUC Public Agenda 3373
Thursday, February 25, 2016 9:30 a.m.
San Francisco, CA



Commissioners:
Michael Picker, President
Michel Peter Florio
Catherine J.K. Sandoval
Carla J. Peterman
Liane M. Randolph

www.cpuc.ca.gov





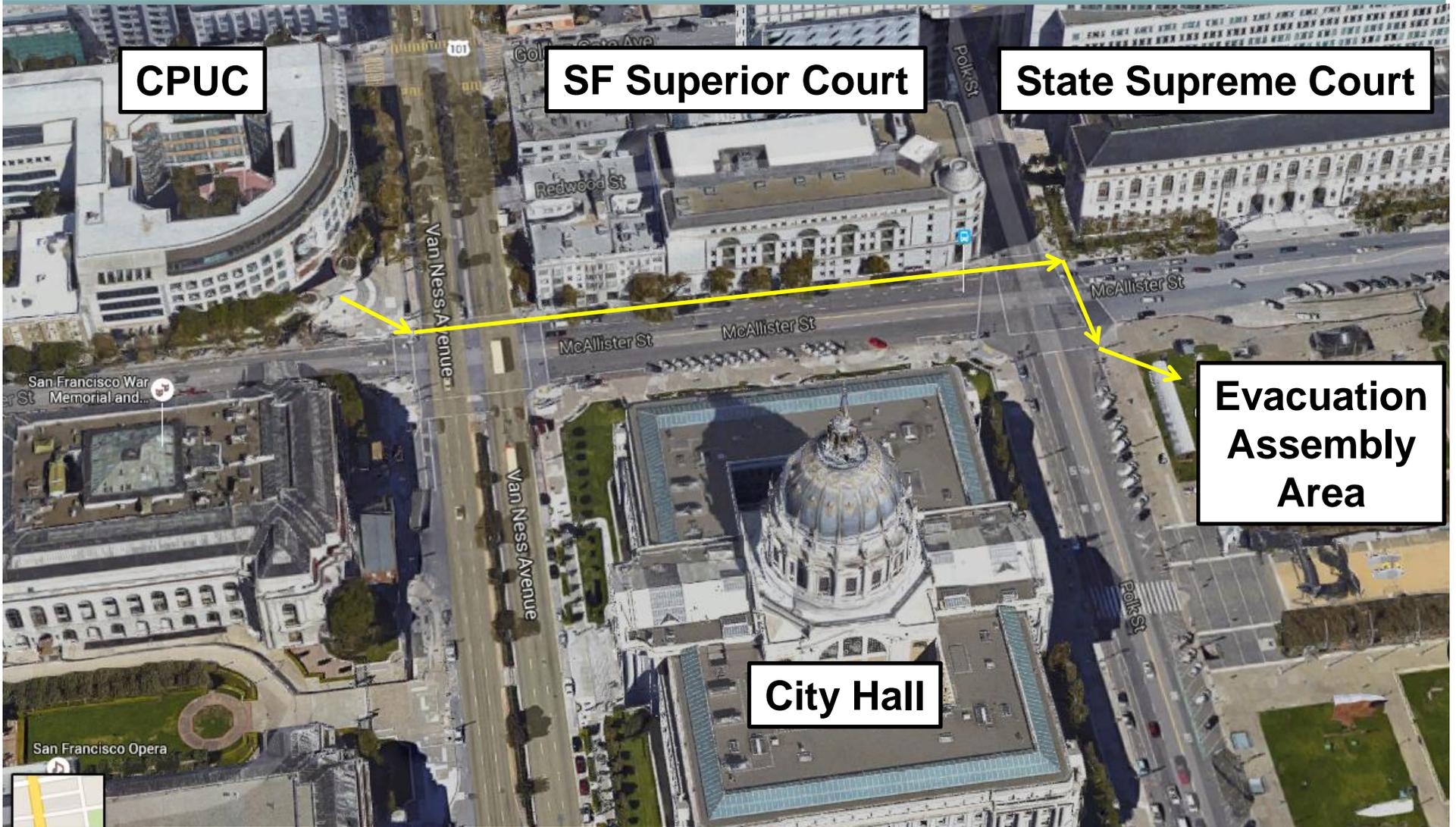
The Pledge of Allegiance



“I pledge allegiance to the Flag of the
United States of America,
and to the Republic for which it stands,
one nation under God,
indivisible,
with liberty and justice for all.”



Evacuation Assembly Location





Public Comment

- Per Resolution ALJ-252, any member of the public (excluding parties and their representatives) who wishes to address the CPUC about matters before the Commission must sign up with the Public Advisor's Office table before the meeting begins. If an individual has signed up using the electronic system on the Commission's website, they must check in with the Public Advisor's Office on the day of the meeting, by the sign-up deadline.
- Once called, each speaker has up to 3 minutes at the discretion of the Commission President. Depending on the number of speakers, the time limit may be reduced to 1 minute.
- A sign will be posted when 1 minute remains.
- A bell will ring when time has expired.
- At the end of the Public Comment Section, the Commission President will ask if there are any additional individuals who wish to speak. Individuals who wish to speak but did not sign up by the deadline, will be granted a maximum of one minute to make their comments.

Public Comment is not permitted on the following items:

- 9, 10.
- All items on the Closed Session Agenda





Public Comment

- Per Resolution ALJ-252, any member of the public (excluding parties and their representatives) who wishes to address the CPUC about matters before the Commission must sign up with the Public Advisor's Office table before the meeting begins. If an individual has signed up using the electronic system on the Commission's website, they must check in with the Public Advisor's Office on the day of the meeting, by the sign-up deadline.
- Once called, each speaker has up to 2 minutes at the discretion of the Commission President. Depending on the number of speakers, the time limit may be reduced to 1 minute.
- A sign will be posted when 1 minute remains.
- A bell will ring when time has expired.
- At the end of the Public Comment Section, the Commission President will ask if there are any additional individuals who wish to speak. Individuals who wish to speak but did not sign up by the deadline, will be granted a maximum of one minute to make their comments.

Public Comment is not permitted on the following items:

- **9, 10.**
- All items on the Closed Session Agenda





Public Comment

- Per Resolution ALJ-252, any member of the public (excluding parties and their representatives) who wishes to address the CPUC about matters before the Commission must sign up with the Public Advisor's Office table before the meeting begins. If an individual has signed up using the electronic system on the Commission's website, they must check in with the Public Advisor's Office on the day of the meeting, by the sign-up deadline.
- Once called, each speaker has up to 1 minute to address the Commission.
- A bell will ring when time has expired.
- At the end of the Public Comment Section, the Commission President will ask if there are any additional individuals who wish to speak. Individuals who wish to speak but did not sign up by the deadline, will be granted a maximum of one minute to make their comments.

Public Comment is not permitted on the following items:

- **9, 10.**
- All items on the Closed Session Agenda





Public Agenda Changes

Items shown on the Consent Agenda will be taken up and voted on as a group in one of the first items of business of each CPUC meeting.

- Items on Today's Consent Agenda are: **1, 2, 3, 4, 5, 6, 7, 8, 10, 12, 13, 14, 15.**
- Any Commissioner, with consent of the other Commissioners, may request an item from the Regular Agenda be moved to the Consent Agenda prior to the meeting.
- **Item 17, 23(k) and 23(m)** from the Regular Agenda has been added to the Consent Agenda.
- Any Commissioner may request an item be removed from the Consent Agenda for discussion on the Regular Agenda prior to the meeting.
- **None** has been moved to the Regular Agenda.
- **Item 9** has been withdrawn.
- The following items have been held to future Commission Meetings:

Held to 3/17/16: **11, 16, 16a, 16b, 23 except sub-Items 23(k) and 23(m).**





Regular Agenda

- Each item on the Regular Agenda (and its alternate if any) will be introduced by the assigned Commissioner or CPUC staff and discussed before it is moved for a vote.
- For each agenda item, a summary of the proposed action is included on the agenda; the CPUC's final decision may, however, differ from that proposed.
- The complete text of every Proposed Decision or Draft Resolution is available for download on the CPUC's website: www.cpuc.ca.gov.
- Late changes to agenda items are available on the Escutia Table.





Regular Agenda – Water/Sewer Resolutions and Reports

Item # 18 [14610] – Appeals of the Division of Water and Audits Dispositions of Various Advice Letters Updating Rule 14.1 and Adopting Schedule 14.1

Res W-5074

PROPOSED OUTCOME:

- Denies the appeals of the Division of Water and Audits disposition of various advice letters updating Rule 14.1 and adopting Schedule 14.1 for California-American Water Company, California Water Services Company, Golden State Water Company, and San Jose Water Company.

SAFETY CONSIDERATIONS:

- The resolution provides adequate revenues to the utility so that it can provide safe and reliable water service to its customers. The water served by the utility meets all applicable water quality standards set forth by the State Water Resources Control Board.

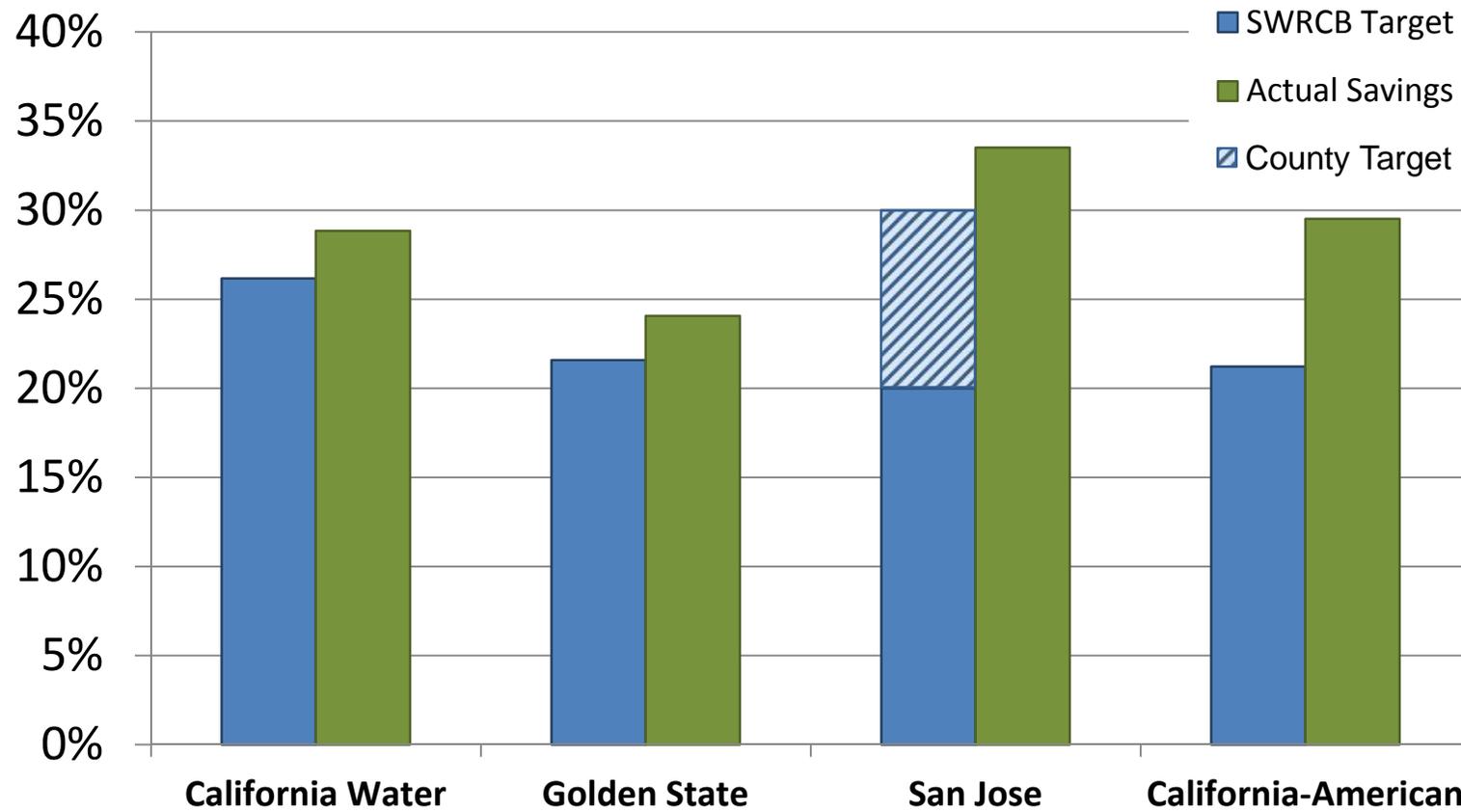
ESTIMATED COST:

- Not Applicable.





Company Wide Savings June – December 2015





Regular Agenda – Water/Sewer Resolutions and Reports

Item # 18 [14610] – Appeals of the Division of Water and Audits Dispositions of Various Advice Letters Updating Rule 14.1 and Adopting Schedule 14.1

Res W-5074

PROPOSED OUTCOME:

- Denies the appeals of the Division of Water and Audits disposition of various advice letters updating Rule 14.1 and adopting Schedule 14.1 for California-American Water Company, California Water Services Company, Golden State Water Company, and San Jose Water Company.

SAFETY CONSIDERATIONS:

- The resolution provides adequate revenues to the utility so that it can provide safe and reliable water service to its customers. The water served by the utility meets all applicable water quality standards set forth by the State Water Resources Control Board.

ESTIMATED COST:

- Not Applicable.





Regular Agenda – Transportation/Rail Safety Orders

Item # 19 [14612] – Consolidated Proceedings to Address Certificate of Public Convenience and Necessity Requested by Two Freight Shippers to Provide Service to Santa Catalina Island

A14-10-015, A15-01-005 – Related matters.

Ratesetting

Comr Randolph / Judge Farrar

PROPOSED OUTCOME:

- Denies Avalon Freight Services, LLC.'s request for a Certificate of Public Convenience and Necessity (CPCN).
- Grants Curtin Maritime Corporations' request for a CPCN.
- Closes the proceedings.

SAFETY CONSIDERATIONS:

- Approval of Curtin Maritime's application for a CPCN will not compromise the safety or reliability operations serving Santa Catalina Island.

ESTIMATED COST:

- None as a result of this decision.





Regular Agenda – Transportation/Rail Safety Orders Cont'd

Item # 19a [14613] – Commissioner Randolph's Alternate to Item 14612

A14-10-015, A15-01-005 – Related matters.

Ratesetting

Comr Randolph

PROPOSED OUTCOME:

- Grants Avalon Freight Services, LLC.'s request for a Certificate of Public Convenience and Necessity (CPCN) Subject to conditions.
- Grants Curtin Maritime Corporations' request for a CPCN.
- Closes the proceedings.

SAFETY CONSIDERATIONS:

- Approval of Avalon Freight Services, LLC.'s and Curtin Maritime Corporations' applications for a CPCN will not compromise the safety or reliability operations serving Santa Catalina Island.

ESTIMATED COST:

- None as a result of this decision.





Regular Agenda – Orders Extending Statutory Deadline

Item # 20 [14635] – Order Extending Statutory Deadline

A14-02-008 Application of Pacific Gas and Electric Company for Compliance Review of Utility Owned Generation Operations, Electric Energy Resource Recovery Account Entries, Contract Administration, Economic Dispatch of Electric Resources, Utility Retained Generation Fuel Procurement, and Other Activities for the Period January 1 through December 31, 2013.

Ratesetting

Comr Florio / Judge Roscow

PROPOSED OUTCOME:

- Extends statutory deadline for completion of this proceeding until April 30, 2016.

SAFETY CONSIDERATIONS:

- There are no safety considerations implicated with this Order Extending Statutory Deadline.

ESTIMATED COST:

- There are no costs associated with this Order Extending Statutory Deadline.





Regular Agenda - Orders Extending Statutory Deadline (continued)

Item # 21 [14639] – Order Extending Statutory Deadline

A13-02-023

Application of Pacific Gas and Electric Company for Compliance Review of Utility Owned Generation Operations, Electric Energy Resource Recovery Account Entries, Contract Administration, Economic Dispatch of Electric Resources, Utility Retained Generation Fuel Procurement, and Other Activities for the Period January 1 through December 31, 2012.

Ratesetting

Comr Florio / Judge Roscow

PROPOSED OUTCOME:

- Extends statutory deadline for completion of this proceeding until April 30, 2016.

SAFETY CONSIDERATIONS:

- There are no safety considerations implicated with this Order Extending Statutory Deadline.

ESTIMATED COST:

- There are no costs associated with this Order Extending Statutory Deadline.





Regular Agenda - Orders Extending Statutory Deadline (continued)

Item # 22 [14642] – Order Extending Statutory Deadline

A13-09-010

In the Matter of the Application of Southern California Gas Company for Approval of The Branch Office Optimization Process.

Ratesetting

Comr Randolph / Judge Colbert

PROPOSED OUTCOME:

- Extends statutory deadline for completion of this proceeding until May 4, 2016.

SAFETY CONSIDERATIONS:

- There are no safety considerations implicated with this Order Extending Statutory Deadline.

ESTIMATED COST:

- There are no costs associated with this Order Extending Statutory Deadline.





Commissioners' Reports





Management Reports





Regular Agenda – Management Reports and Resolutions

Item # 24 [14599]

**Report and Discussion by Safety and Enforcement Division
on Recent Safety Program Activities**





Regular Agenda – Management Reports and Resolutions

Item # 25 [14600]

Management Report on Administrative Activities





Compliance with Ordering Paragraphs

Management Report, Commission Voting Meeting

February 25, 2015





Background

- Ordering paragraphs are the basic building blocks of CPUC oversight of regulated entities
- In the past, each CPUC industry program employed program-specific methods to track compliance that did not support:
 - identification of noncompliance
 - comparison between program areas
 - ability to track agency performance over time
- In July 2015, the CPUC launched the Compliance with Ordering Paragraphs (COPs) application to track compliance in a central database
- Yesterday, COPs was made available to the public on the CPUC external website under the Transparency tab.
 - The public has full access with the exclusion of information on staff assignments





COPs Search Home Screen



California Public
Utilities Commission

Compliance with Ordering Paragraphs (COPs)

Custom Search

To search, set your search criteria in the filters at the top of the table below. Click the "Search" link to start the search. To clear the search, click the "Clear Search" link. To view details about a record, click the row of an item and the details screen will open.

You can sort by columns, simply click the column header. The number of records per page can be adjusted by using the control at the bottom of the table.

Downloading Reports

Note: IE9+ needed to export/download reports.

File Name: [do not include file extension (i.e. .xls or .doc) in the file name]

Check/Uncheck the properties for exporting this information:

Export All Pages

Include Ordering Paragraph text in Export

| |

							Search
Decision #	OP#	Decision Effective Date	Proceeding No	Industry	Due Date	Status	
Equal To	Equal To	Between Dates (m/d/yyyy)	Equal To	Equal To	Between Dates (m/d/yyyy)	Equal To	
D1502005	1	2/12/2015	R1502012	Energy	3/19/2015	In Compliance	
D1502005	2	2/12/2015	A1406010	Energy	2/23/2015	In Compliance	
D1502005	4	2/12/2015	A1406010	Energy	7/22/2015	Not Compliance Item	
D1502039	1, 2	2/26/2015	R1302008	Icomp	3/26/2015	In Compliance	
D1502038	1, 2	2/26/2015	A1207001	Icomp	3/26/2015	In Compliance	
D1502037	1, 2	2/26/2015	R1012007	Icomp	3/26/2015	In Compliance	
D1502035	1	2/26/2015	A0010012	Transportation or Other Safety	2/26/2015	In Compliance	





"In Compliance" OP Example

Manage OPs

OP Details

Decision No: D1506046
Decision Effective Date (m/d/yyyy): 6/25/2015
Proceeding Number: A1405026
Industry: Energy
OP Number: 8
Details: In order to implement the authority granted herein, San Diego Gas & Electric Company shall file a Tier 1 Advice Letter within 30 days of the date of this decision. The tariffs filed in the Advice Letter shall become effective on or after the date filed subject to Energy Division determining they are in compliance with this decision.

Record ID#: 300

Manage Status

Status Details

Status: In Compliance
Due Date: (m/d/yyyy) 7/23/2015
How was the Compliance Status determined: AL 2768-E
Notes:
Record ID#: 300





“Not In Compliance” OP Example

Manage OPs

OP Details

Decision No: D1601007

Decision Effective
Date (m/d/yyyy): 1/5/2016

Proceeding Number: A1506021

Industry: Transportation or Other Safety

OP Number: 2d, 2e

Details: Comply with General Orders Series 101 and 158, and the California Highway Patrol safety rules.

Comply with the Commission’s controlled substance and alcohol testing certification program pursuant to Pub. Util. Code § 1032.1 and General Order Series 158.

Record ID#: 1263

Manage Status

Status Details

Status: Not In Compliance

Due Date: (m/d/yyyy) 2/5/2016

How was the
Compliance Status
determined: Carrier ordered to file its compliance with GOs and CHP safety rules. Also needs to submit its controlled substance and alcohol testing certificates.

Notes: PSG#28589

Record ID#: 1263





COPs Highlights and Benefits

- Allows CPUC staff to ensure OP compliance more effectively and efficiently
- Identifies areas where regulated entities are non-compliant
- Provides on-demand access to compliance information on all decisions issued after 2015
- Provides a technology solution with streamlined, user-friendly interface
- Enables search by Decision Number, Effective Date, Due Date, Industry, and Status
- Enables CPUC leadership to view aggregate compliance statistics and allocate resources accordingly
- Encourages clearer and stronger compliance language in ordering paragraphs
- Supports CPUC's mission to increase accountability and transparency





COPs Resource Requirements

Executive Division

- After each Commission Meeting, copy and paste relevant OPs into COPs;
- Assign OPs to appropriate division

Division Compliance Officer

- Assign OPs to appropriate subject matter expert
- Enter updates from subject matter experts into COPs

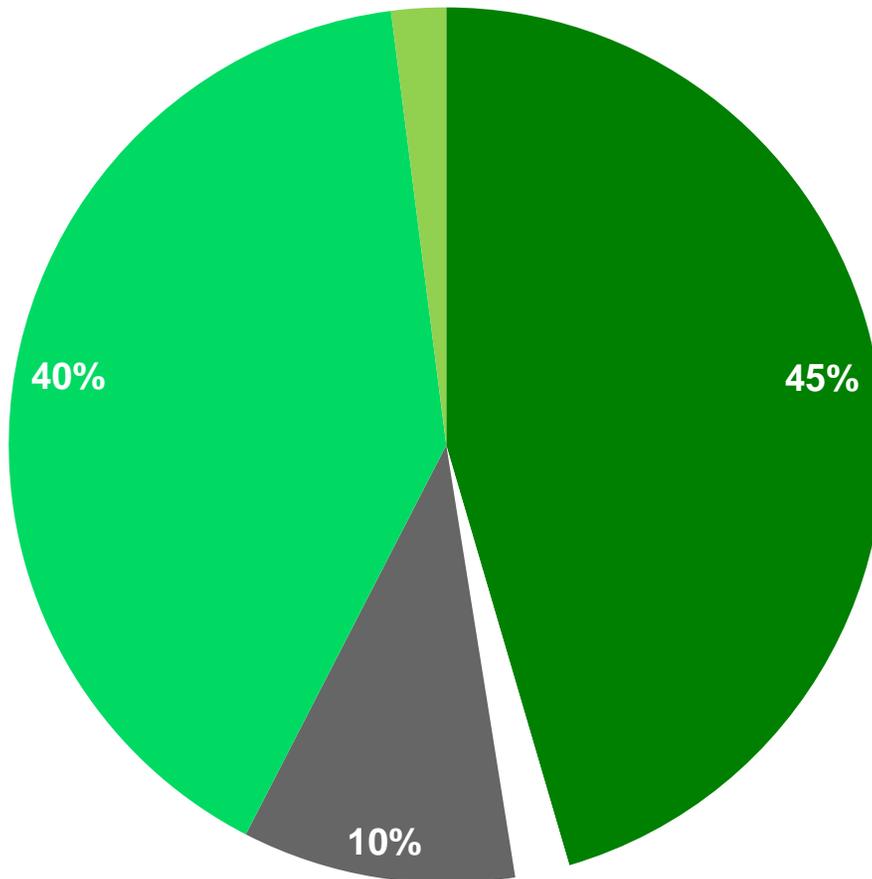
Subject Matter Expert

- Verify compliance with assigned OPs and provide a brief description of how compliance was verified





Statistics on Ordering Paragraphs Issued in 2015 (1217 OPs)



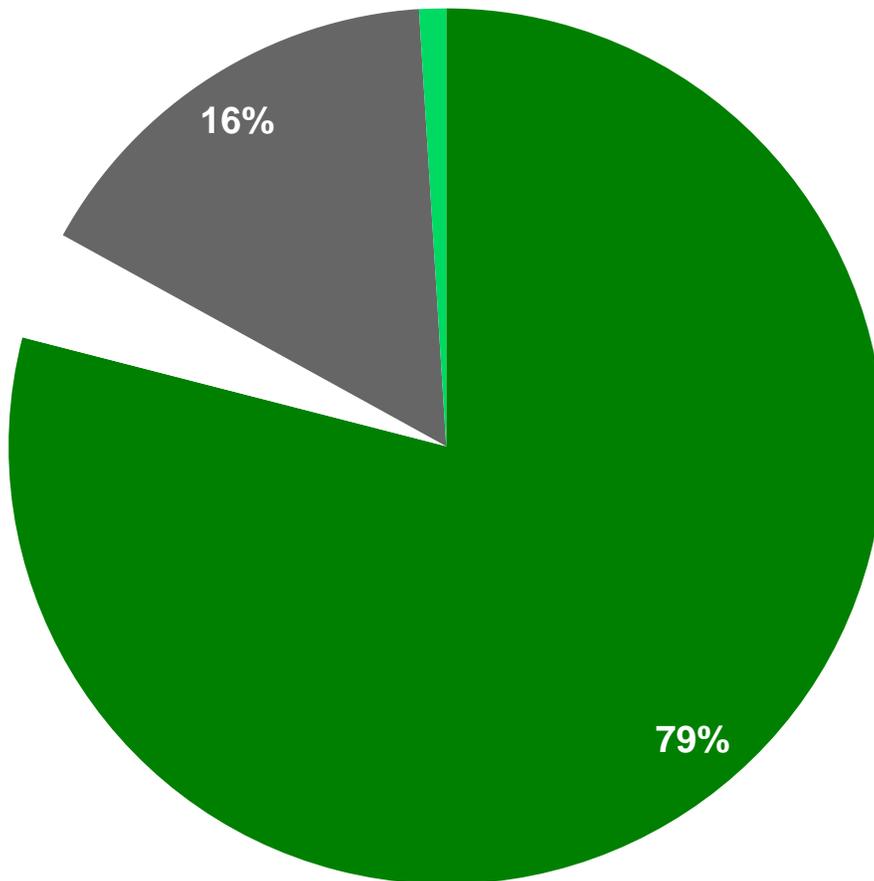
- In Compliance
- Not in Compliance
- Pending Verification by SME
- Not Yet Due
- Tracked in FPT

Report generated 2/24/16





Statistics on Ordering Paragraphs “Due” in 2015 (476 OPs)



■ In Compliance

■ Not in Compliance

■ Pending Verification by SME

■ Tracked in FPT

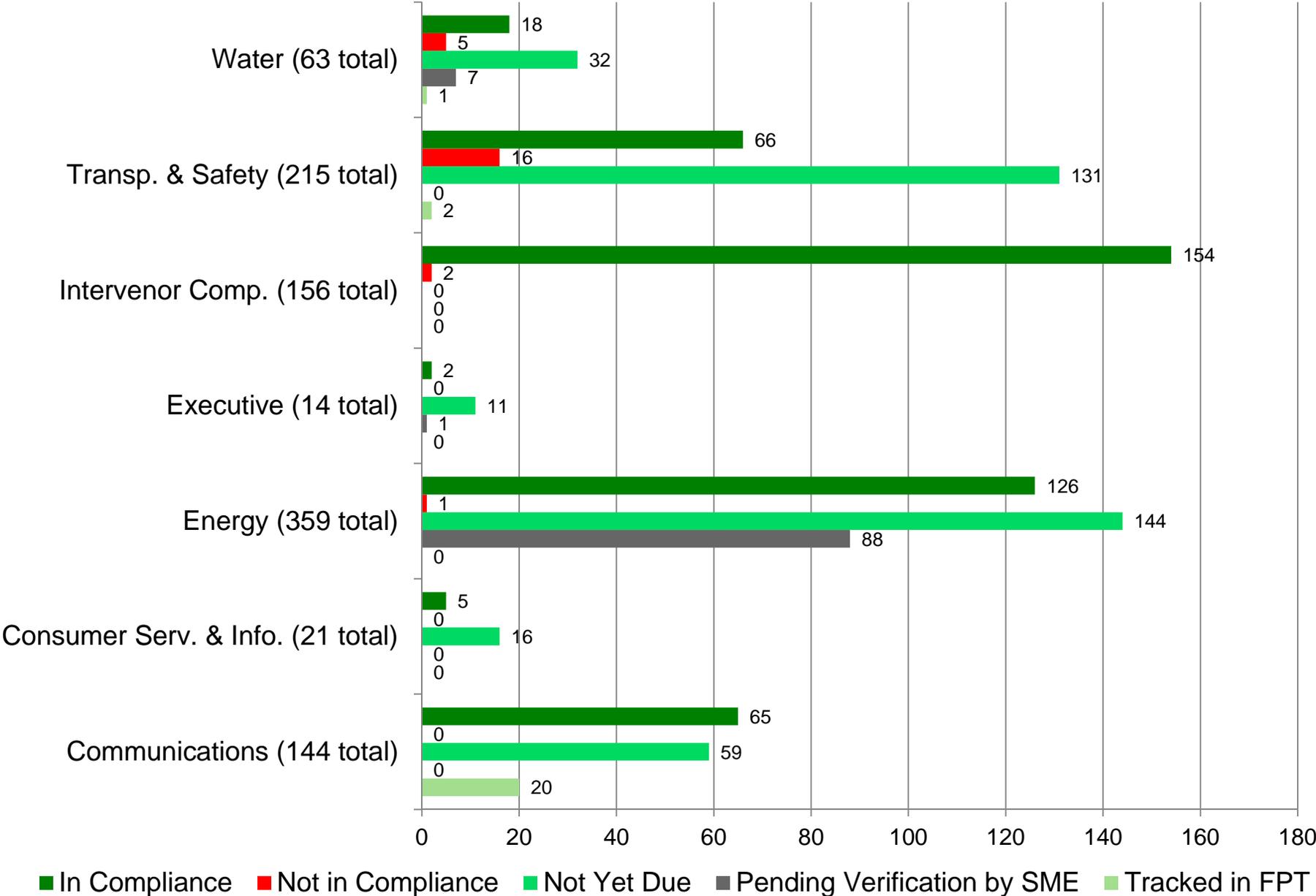
Report generated 2/24/16



Ordering Paragraph Statistics by Industry

Decisions Issued in 2015

Report generated 2/24/16





Thank you to the COPs Team

Project Lead

Mary Claire Evans

IT Project Team

Amanda Hult

James Tang

Vickie Lachney

Charles Poe

Albert Fuller

Database Design and Maintenance

Carol Mendiola

Anna-Marie Madrigal

Division Compliance Officers

Frank Alan Reynolds

Junaid Rahman

Brewster Fong

Victor F. Banuelos

Edward F. Sullivan

Ravneet Kaur

Risa Hernandez

Jessica Allison

Penney Legakis

Sasha Goldberg (Lippman)

Jennifer Perez

Mary Claire Evans

(Michael Colvin)

(Mike Amato)





Regular Agenda – Management Reports and Resolutions

Item # 25 [14600]

Management Report on Administrative Activities





Management Reports





The CPUC Thanks You For Attending Today's Meeting

**The Public Meeting is adjourned.
The next Public Meeting will be:**

**March 17, 2016 at 9:30 a.m.
in San Francisco, CA**

